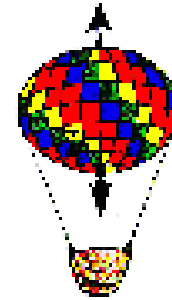


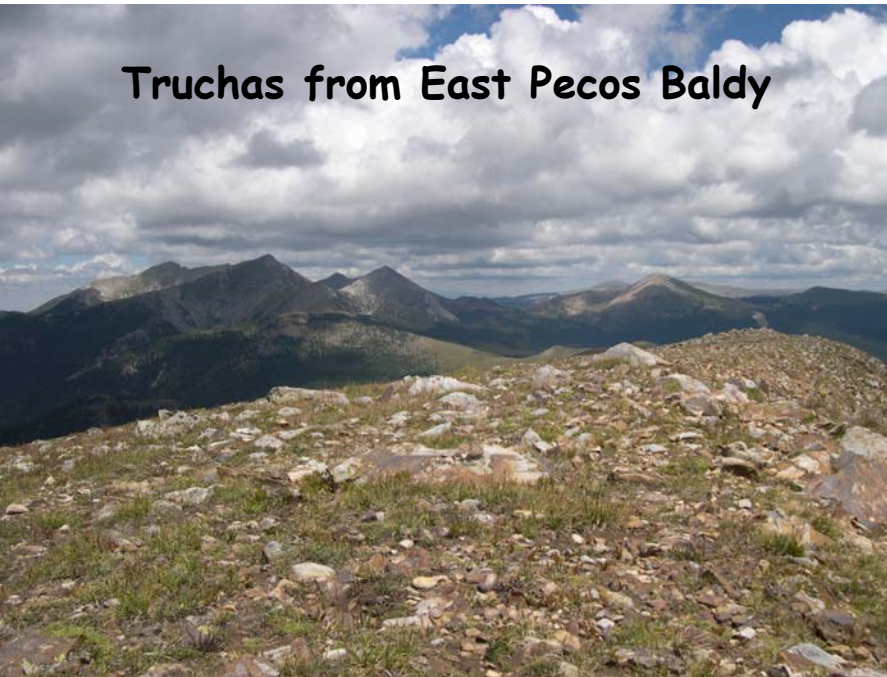
# What the #\$\$\*! Do We (K)now! about Quantum Communication

**Carlton M. Caves**  
**University of New Mexico**  
<http://info.phys.unm.edu/~caves>

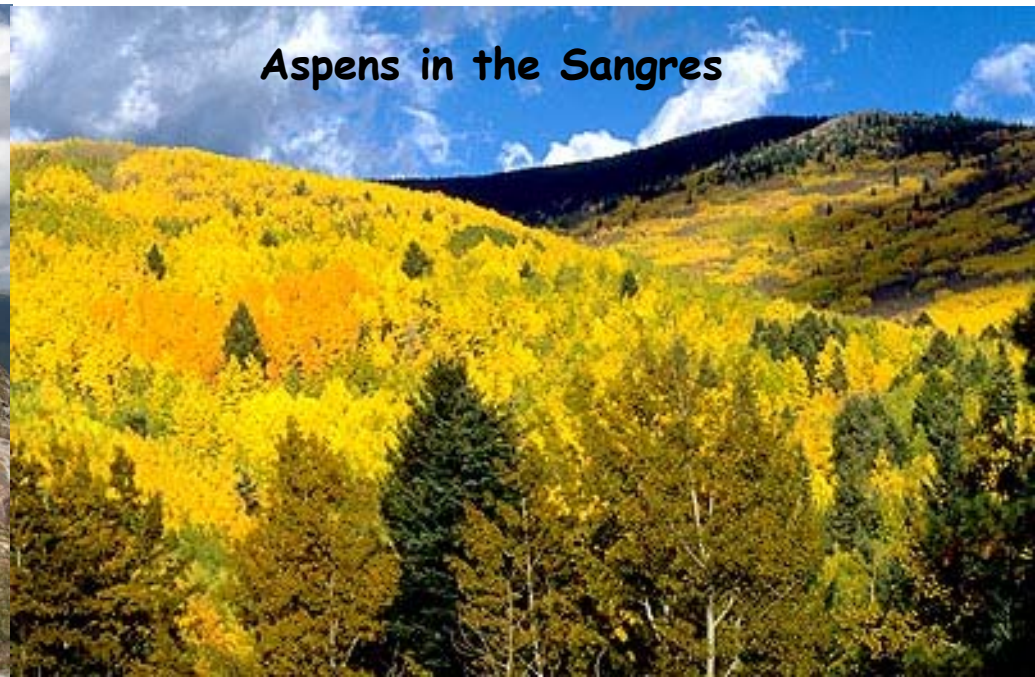


**CAPS, University of New Mexico**  
**2007 February 23**

Truchas from East Pecos Baldy



Aspens in the Sangres



# Quantum communication

Communication using  
quantum systems

Quantum mechanics as limiter

Using quantum systems to  
communicate in ways that cannot be  
done classically

Using quantum systems to perform  
information-processing tasks that  
cannot be done classically

Quantum mechanics as enabler

Quantum information science



I don't care if you are at  
Hogwarts, Harry. You  
can't violate the  
uncertainty principle. Fifty  
points from Gryffindor.

Use your quantum  
mechanics, Harry. Feel  
the quantum reality.



# Message

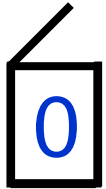
H E L P  
00111 00100 01011 01111



ALICE



BOB



# Message

H E L P  
00111 00100 01011 01111



ALICE



BOB



# Message

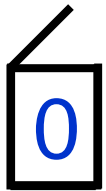
H E L P  
00111 00100 01011 01111



ALICE



BOB



EVE

# Message

H E L P  
00111 00100 01011 01111



ALICE



BOB



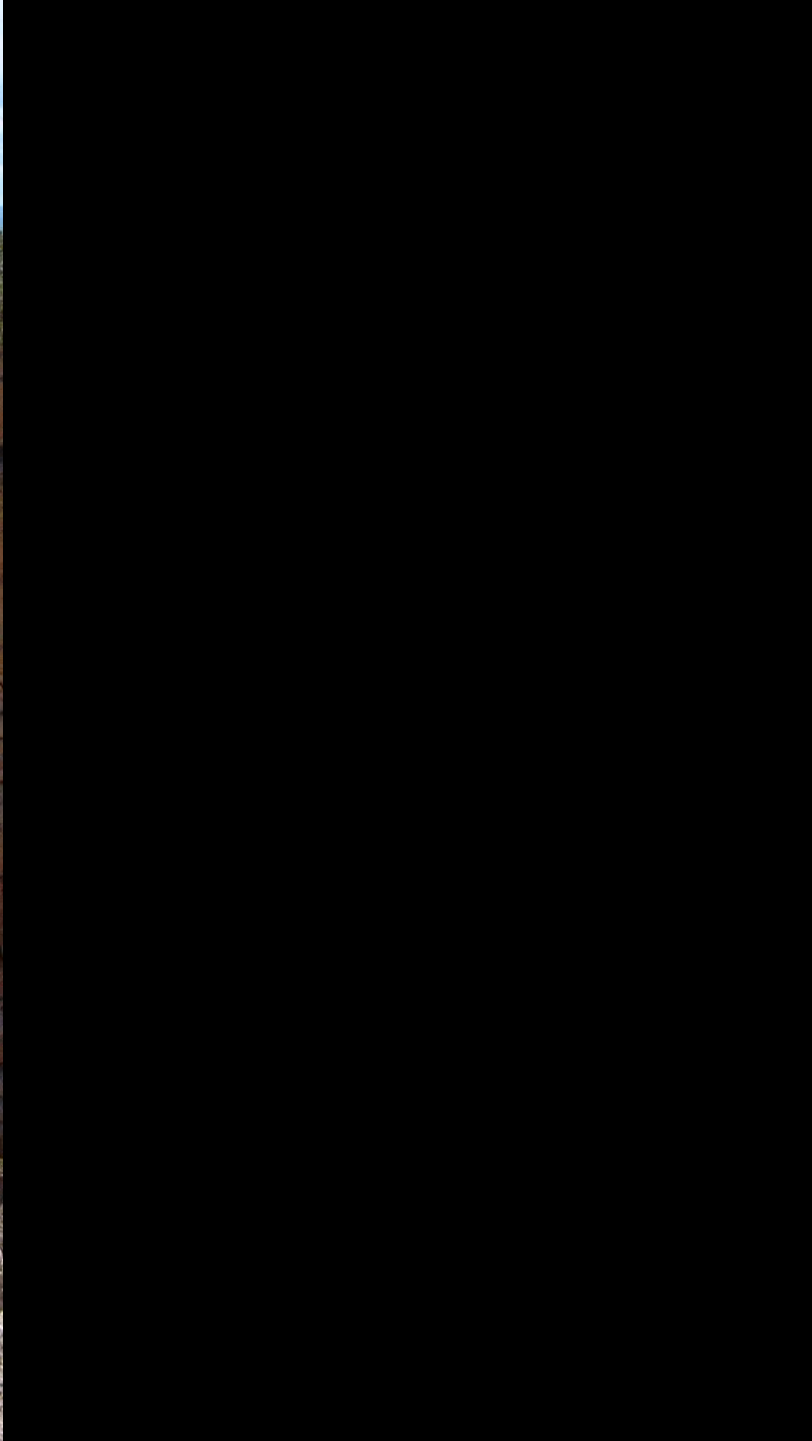
EVE

# Private communication

Alice and Bob share a one-time pad  
(secret random key).

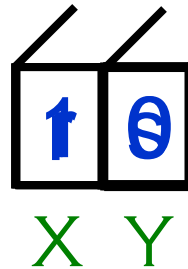
	00111001000101101111	Message
⊕	<u>01110010011010010011</u>	Key (random string)
	01001011011111111100	Coded message
⊕	<u>01110010011010010011</u>	Key (random string)
	00111001000101101111	Message

But where do Alice and Bob get the key?





# “TWO-BIT” DEVICE



## RULES

1. AN INTERLOCK MECHANISM PERMITS ONLY ONE BOX AT A TIME TO BE OPENED.
2. WHEN A BOX IS OPENED, THE INTERLOCK ALSO CAUSES A RANDOM BIT TO BE PLACED IN THE OTHER BOX.

INFORMATION CAPACITY = 1 BIT

IF YOU TRY TO SEND 2 BITS ENCODED IN WHICH BOX AND WHAT'S IN THAT BOX, YOU END UP SENDING ONLY HALF A BIT.

# Secret key distribution



ALICE



BOB

0	r
---	---

X

# Secret key distribution



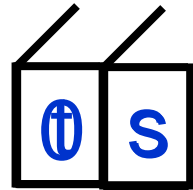
ALICE

0

X



BOB



X Y

# Secret key distribution



ALICE



BOB

0	r
---	---

X

# Secret key distribution



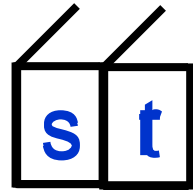
ALICE

0

X



BOB



X Y

# Secret key distribution



ALICE



BOB

1 0 1 0 0 1 0 0 0 1

X X Y X Y Y Y X Y Y

r r r 0 r 1 r 0 0 r

Y Y X X X Y X X Y X

ALICE AND BOB ANNOUNCE THEIR BOX SEQUENCES PUBLICLY AND KEEP THE BITS ONLY WHEN THE BOXES AGREE. THIS PROCESS, CALLED *SIFTING*, YIELDS A SHARED SECRET KEY, IN THIS CASE

0 1 0 0

THE KEY GENERATION RATE IS 50% (1 / 2 BIT PER TRY).



ALICE

1. ALICE AND BOB'S SIFTED KEYS HAVE AN ERROR RATE OF 25%. BY SACRIFICING SOME KEY BITS, THEY CAN DETECT EVE'S PRESENCE THROUGH THE ERROR RATE.
2. EVE KNOWS 50% OF EACH OF THEIR SIFTED KEYS.



BOB

1 0 1 0 0 1 0 0 0 1  
 X X Y X Y Y Y X Y Y

r r r 0 r s r s 0 r  
 Y Y X X X Y X X Y X

r r r 0 0 r 0  
 Y Y X X Y X



ERROR CORRECTION AND PRIVACY AMPLIFICATION ALLOW ALICE AND BOB TO EXTRACT A SECRET KEY PROVIDED THE ERROR RATE DOES NOT EXCEED 17.1%.



EVE

FLAW: IF EVE CAN DEACTIVATE THE INTERLOCK, SHE CAN OPEN BOTH BOXES AND DETERMINE THE SIFTED KEY WITHOUT INTRODUCING ERRORS.

# Secret key distribution



ALICE

QUANTUM MECHANICS TO THE RESCUE!  
FOR QUANTUM SYSTEMS, THE TWO RULES ARE  
CONSEQUENCES OF THE LAWS OF QUANTUM  
MECHANICS: THERE IS NO HIDDEN INTERLOCK  
MECHANISM TO BE DE-ACTIVATED.



BOB



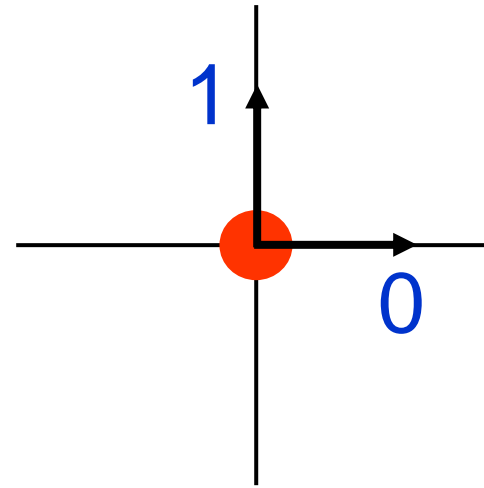
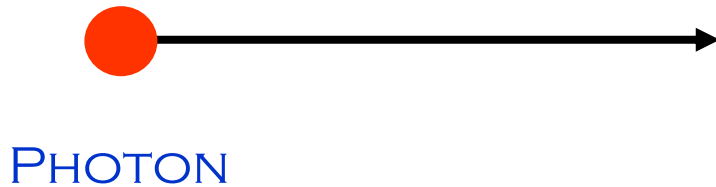
EVE

RATS!  
FOILED AGAIN. I HATE  
THOSE QUANTUM  
MECHANICIANS.

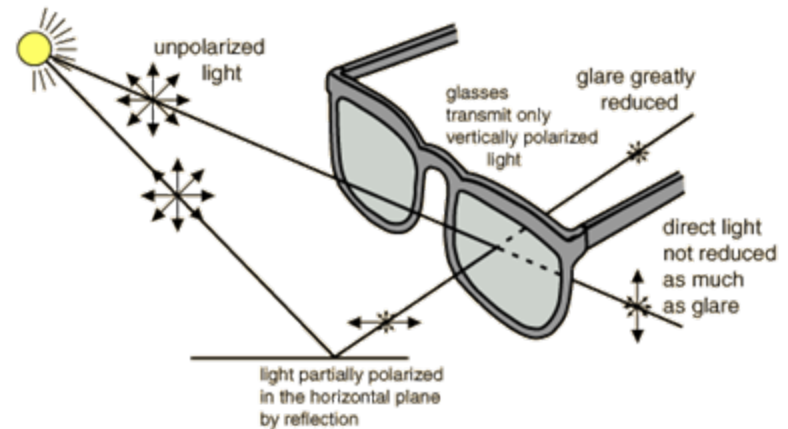




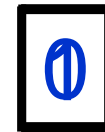
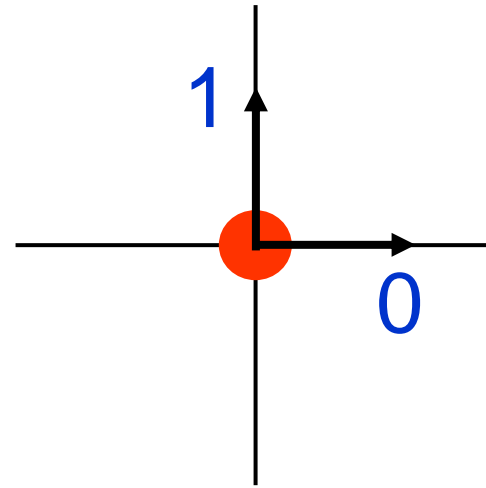
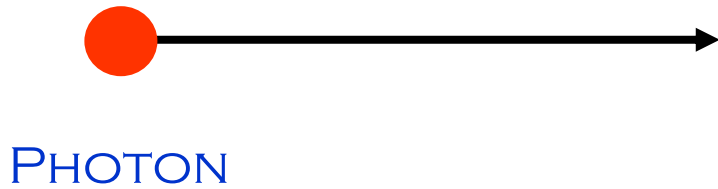
# Qubits: Two-state quantum systems



## PHOTON POLARIZATION

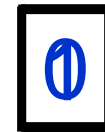
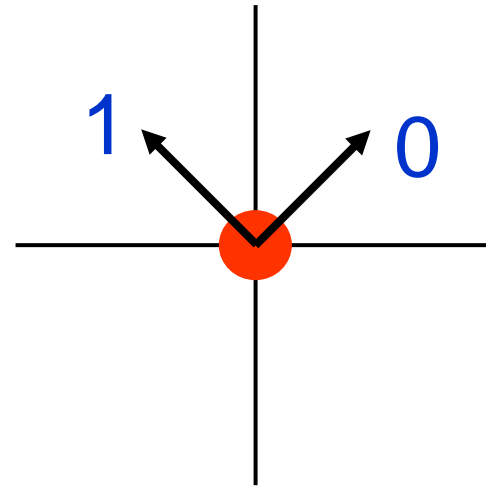
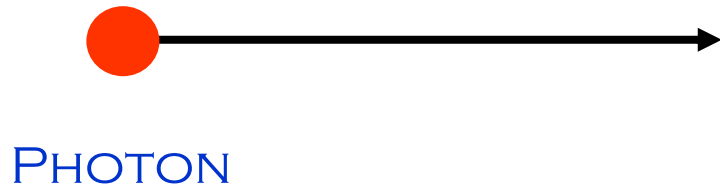


# Qubits: Two-state quantum systems



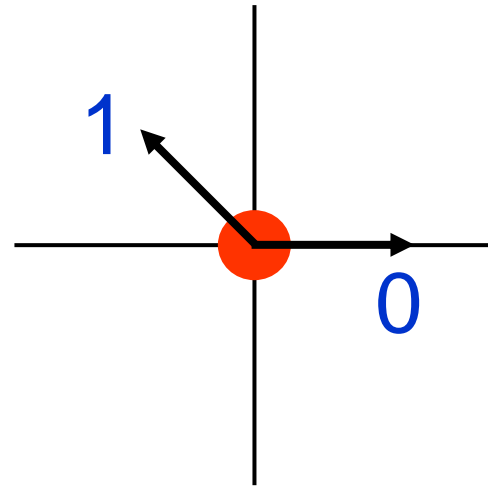
X

# Qubits: Two-state quantum systems



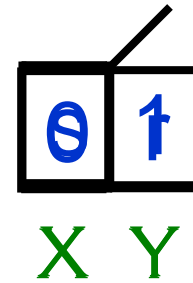
Y

# Qubits: Two-state quantum systems



## QUANTUM RULES

1. ONLY ONE POLARIZATION AT A TIME CAN BE PREPARED OR MEASURED.
2. WHEN ONE POLARIZATION IS MEASURED, THE OTHER IS RANDOMIZED.



# Quantum key distribution in the real world



Quantum Information Solutions for the Real World.



MagiQ QPN  
QPN datasheet

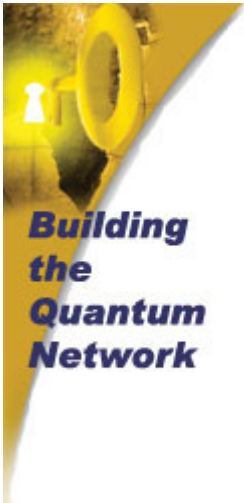
QPN™ Research  
QPN datasheet

Presenting the **first**  
**commercial quantum**  
**cryptography** solutions.

The image shows two rack-mounted quantum key distribution units. The top unit is black and labeled "MagiQ QPN". The bottom unit is white and labeled "QPN™ Research". The background is a dark blue gradient with a pattern of light blue dots and faint quantum circuit diagrams. The text "Presenting the first commercial quantum cryptography solutions." is prominently displayed in white on the right side of the image.

[HTTP://WWW.MAGIQTECH.COM/](http://www.magiqtech.com/)

# Quantum key distribution in the real world



## THE DARPA QUANTUM NETWORK: WORLD'S FIRST QUANTUM CRYPTOGRAPHIC NETWORK

UNDER DARPA SPONSORSHIP, AND TOGETHER WITH OUR ACADEMIC COLLEAGUES, HARVARD UNIVERSITY AND BOSTON UNIVERSITY, BBN TECHNOLOGIES HAS RECENTLY BUILT AND BEGUN TO OPERATE THE WORLD'S FIRST QUANTUM KEY DISTRIBUTION (QKD) NETWORK. THE DARPA QUANTUM NETWORK EMPLOYS 24x7 QUANTUM CRYPTOGRAPHY TO PROVIDE UNPRECEDENTED LEVELS OF SECURITY FOR STANDARD INTERNET TRAFFIC FLOWS SUCH AS WEB-BROWSING, E-COMMERCE, AND STREAMING VIDEO.

THE DARPA QUANTUM NETWORK BECAME FULLY OPERATIONAL ON OCTOBER 23, 2003 IN BBN'S LABORATORIES, AND HAS RUN CONTINUOUSLY SINCE. IT CURRENTLY CONSISTS OF TWO BBN-BUILT, INTEROPERABLE WEAK-COHERENT QKD SYSTEMS RUNNING AT A 5 MHz PULSE RATE (0.1 MEAN PHOTONS PER PULSE) THROUGH TELECOMMUNICATIONS FIBER, AND INTER-CONNECTED VIA A PHOTONIC SWITCH, TOGETHER WITH A FULL SUITE OF PRODUCTION-QUALITY QKD PROTOCOLS. IN THE NEAR FUTURE, WE PLAN TO ROLL OUT THIS NETWORK INTO DARK FIBER BETWEEN OUR CAMPUSES THROUGH THE CAMBRIDGE, MASSACHUSETTS METROPOLITAN AREA, INTRODUCE A SERIES OF NEW QUANTUM CRYPTOGRAPHIC LINKS BASED ON A VARIETY OF PHYSICAL PHENOMENA, AND START TESTING THE RESULTING NETWORK AGAINST SOPHISTICATED ATTACKS.

[HTTP://WWW.BBN.COM/NETWORKING/QUANTUMCRYPTOGRAPHY.HTML](http://www.bbn.com/networking/quantumcryptography.html)

# Quantum key distribution in the real world



LANL QUANTUM INSTITUTE

[HTTP://QUANTUM.LANL.GOV/](http://quantum.lanl.gov/)

ALTHOUGH THE QUANTUM KEY DISTRIBUTION TECHNIQUE WAS NOT CREATED AT LOS ALAMOS, LABORATORY RESEARCHERS HAVE TAKEN THE TECHNOLOGY, QUITE LITERALLY TO NEW LENGTHS IN THE INTEREST OF NATIONAL SECURITY. IN 1999, LOS ALAMOS RESEARCHERS SET A WORLD RECORD WHEN THEY SENT A QUANTUM KEY THROUGH A 31-MILE-LONG OPTICAL FIBER. ... LOS ALAMOS RESEARCHERS DEVELOPED A FREE-SPACE QUANTUM CRYPTOGRAPHY SYSTEM THAT COULD SEND KEYS THROUGH THE AIR.

LOS ALAMOS QUANTUM SCIENTISTS DEVELOPED A TRANSPORTABLE, SELF-CONTAINED QKD SYSTEM THAT USED POLARIZED PHOTONS TO SEND INFORMATION THROUGH THE AIR FOR DISTANCES OF UP TO 10 MILES. THIS MOBILE TRAILER-BASED QKD SYSTEM COULD BE QUICKLY DEPLOYED IN THE FIELD AND WAS CAPABLE OF CONTINUOUS, AUTOMATED TRANSMISSION IN BOTH DAYLIGHT AND DARKNESS. TODAY, LOS ALAMOS RESEARCHERS ARE IN THE PROCESS OF TAKING THIS TECHNOLOGY EVEN FURTHER BY DEVELOPING A SMALLER SCALE VERSION THAT IS CAPABLE OF BEING PUT ON AN EARTH-ORBITING SATELLITE FOR TRANSMITTING QUANTUM KEYS DISTANCES OF HUNDREDS OF MILES BETWEEN THE SATELLITE AND A GROUND STATION.



# What happened to Planck's constant?



MAX PLANCK (1858-1947)

PLANCK INITIATED THE STUDY OF QUANTUM MECHANICS WHEN HE ANNOUNCED IN 1900 THE RESULTS OF HIS THEORETICAL RESEARCH INTO THE RADIATION AND ABSORPTION OF A "BLACK BODY."

$$h = 6.6261 \times 10^{-34} \text{ Joule-sec}$$

PLANCK'S CONSTANT IS THE SCALE ON WHICH PHYSICAL PHENOMENA ARE DISCRETE (OR GRAINY); FOR EXAMPLE, PHOTONS ARE THE EXPRESSION OF THE DISCRETENESS OF THE ELECTROMAGNETIC FIELD.

# World of classical physics

# World of quantum physics

CONTINUOUS,  
SMOOTH  
(ANALOGUE)



I DON'T CARE IF YOU  
ARE AT HOGWARTS,  
HARRY. YOU CAN'T  
VIOLATE THE  
UNCERTAINTY  
PRINCIPLE.

DISCRETE,  
GRAINY  
(DIGITAL)

## INFORMATION-PROCESSING PERSPECTIVE

DIGITAL  
DEVICES  
(ON-OFF)

USE YOUR QUANTUM  
MECHANICS, HARRY.  
FEEL THE QUANTUM  
REALITY.



CONTINUUM OF  
ON-OFF  
PROPERTIES

# Classical bit vs. quantum bit

A classical bit is either on or off.

A few electrons on a capacitor

A pit on a compact disk

A 0 or 1 on the printed page

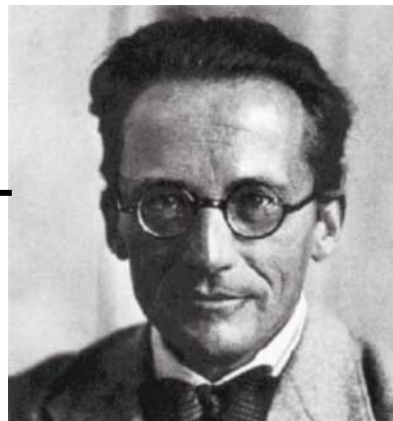
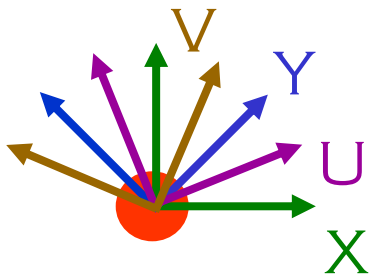
A smoke signal rising from a distant mesa

A quantum bit (qubit) has a continuum of on-off properties.

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

on-off

continuum



ERWIN SCHRÖDINGER (1881-1961)



NIELS BOHR (1885-1962)

# World of classical physics

# World of quantum physics

CONTINUOUS,  
SMOOTH  
(ANALOGUE)



I DON'T CARE IF YOU  
ARE AT HOGWARTS,  
HARRY. YOU CAN'T  
VIOLATE THE  
UNCERTAINTY  
PRINCIPLE.

DISCRETE,  
GRAINY  
(DIGITAL)

## INFORMATION-PROCESSING PERSPECTIVE

DIGITAL  
DEVICES  
(ON-OFF)

USE YOUR QUANTUM  
MECHANICS, HARRY.  
FEEL THE QUANTUM  
REALITY.



CONTINUUM OF  
ON-OFF  
PROPERTIES

COMBINATION OF ANALOGUE AND DIGITAL:  
ANALOGUE INFORMATION PROCESSING MADE  
DIGITAL BY MEASUREMENTS.



# Why is quantum key distribution secure?

An unopened box has no bit value waiting to be discovered.

Entanglement between qubits



ALBERT EINSTEIN (1879-1955)

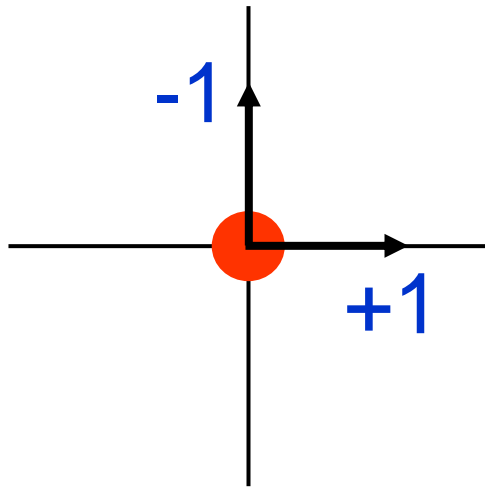


JOHN S. BELL (1928-1990)



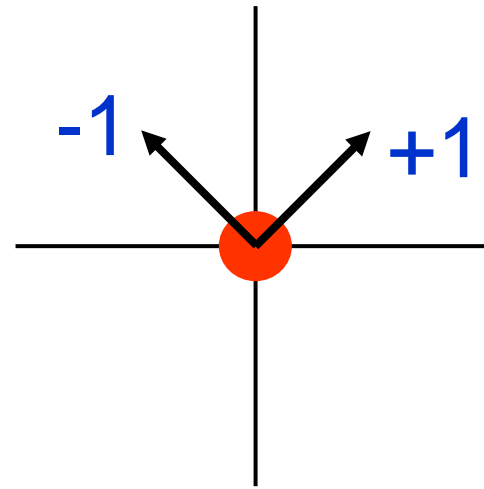
N. DAVID MERMIN (1935-)

# Qubits: Two-state quantum systems



$+1$	$r$
------	-----

X Y



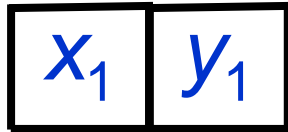
$r$	$+1$
-----	------

X Y

# Greenberger-Horne-Zeilinger (GHZ) entanglement

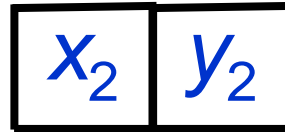
3-QUBIT GHZ ENTANGLED STATE:  $|\psi\rangle = \frac{1}{\sqrt{2}}(|000\rangle + |111\rangle)$

1ST QUBIT



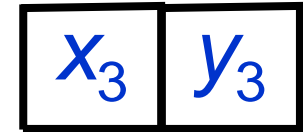
X Y

2ND QUBIT



X Y

3RD QUBIT



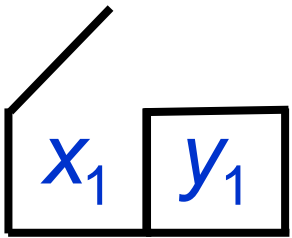
X Y



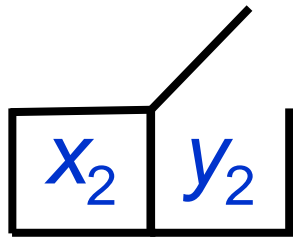
1ST QUBIT

2ND QUBIT

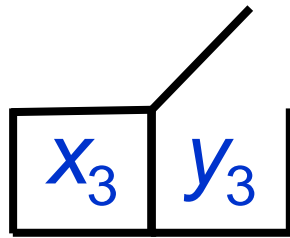
3RD QUBIT



X Y

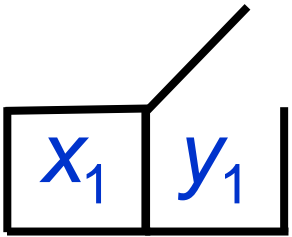


X Y

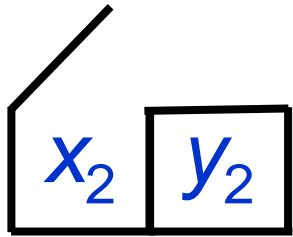


X Y

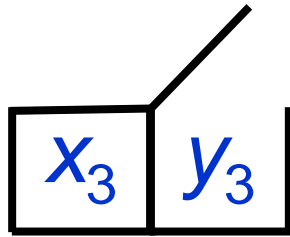
$$x_1 y_2 y_3 = -1$$



X Y

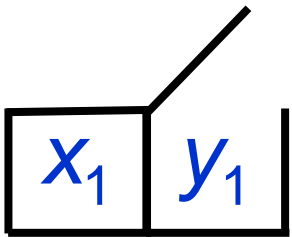


X Y

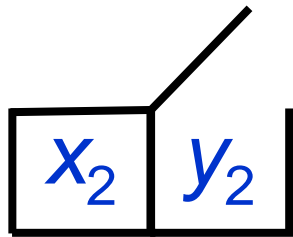


X Y

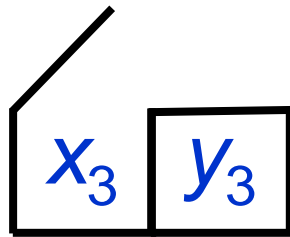
$$y_1 x_2 y_3 = -1$$



X Y

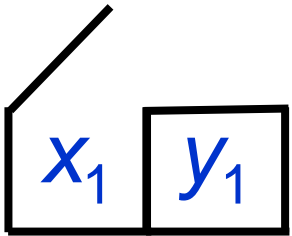


X Y

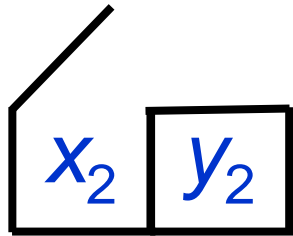


X Y

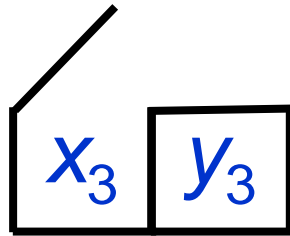
$$y_1 y_2 x_3 = -1$$



X Y



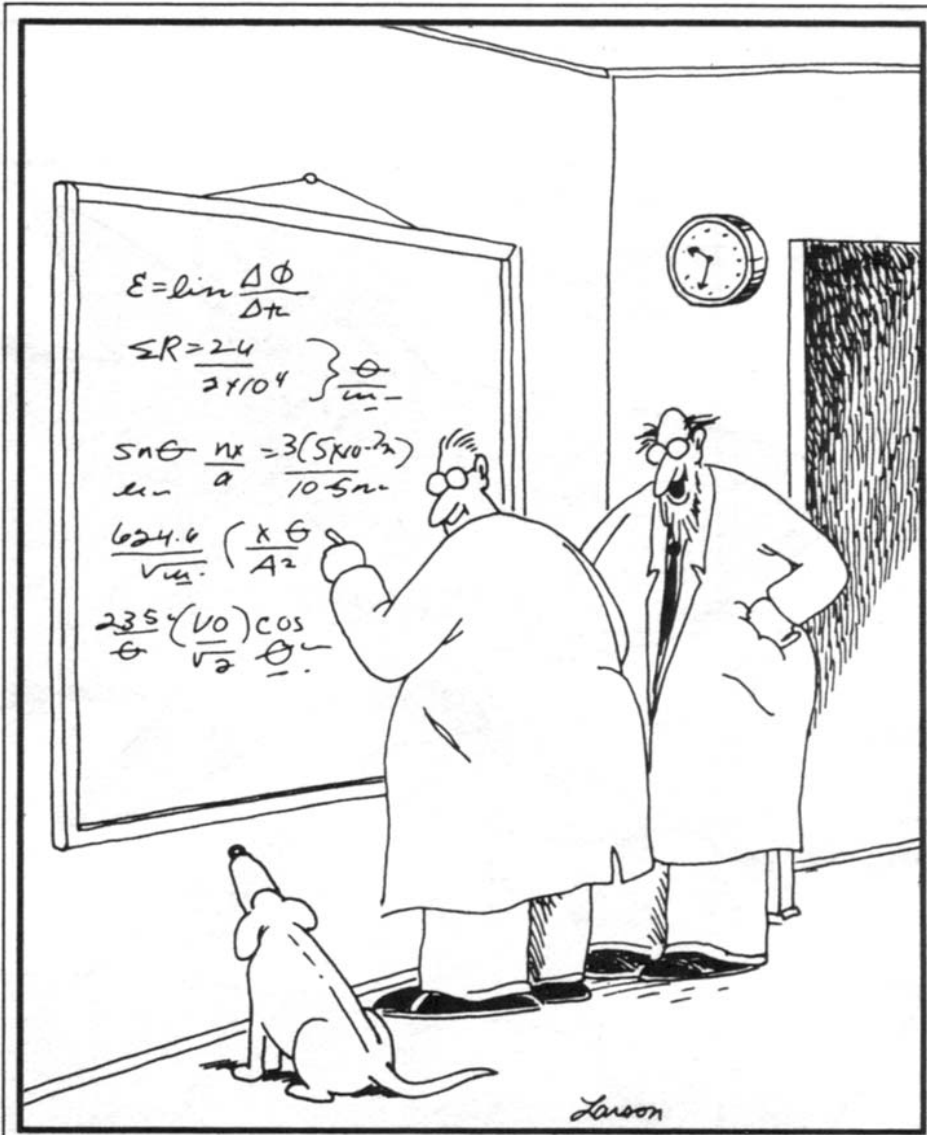
X Y



X Y

~~$$x_1 x_2 x_3 = ?-1$$~~

$$\text{QM: } x_1 x_2 x_3 = +1$$



We've shown now that it's not only dogs that can't understand quantum mechanics, so ...

Quantum information science is the discipline that explores information processing within the quantum context where the mundane constraints of realism and determinism no longer apply.

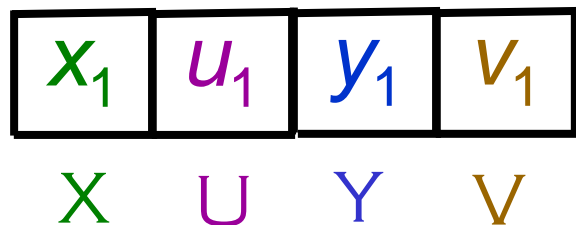
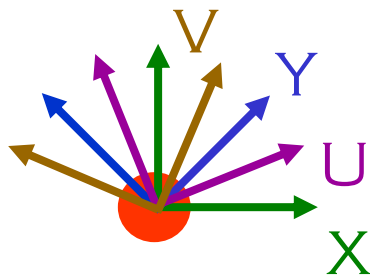
“Ohhhhhh...Look at that, Schuster... Dogs are so cute when they try to comprehend quantum mechanics.”



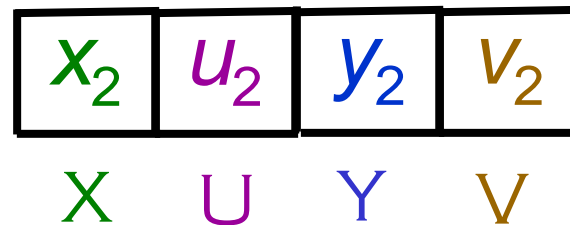
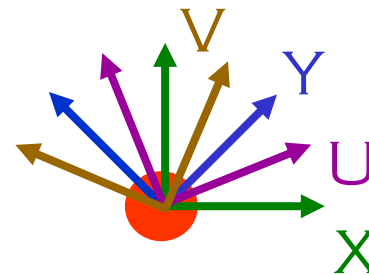
# Quantum key distribution using entanglement

2-QUBIT BELL ENTANGLED STATE:  $|\psi\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$

1ST QUBIT



2ND QUBIT



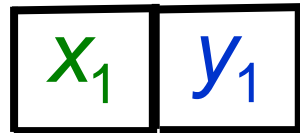
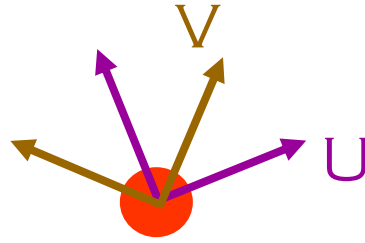
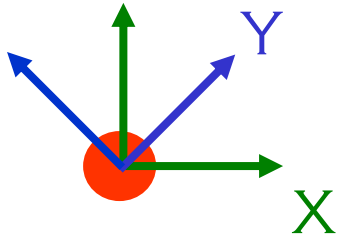
Bell correlations

$$x_1x_2 = u_1u_2 = y_1y_2 = v_1v_2 = -1$$

# A Bell inequality

1ST QUBIT

2ND QUBIT



X Y

U V

2ND QUBIT

1ST QUBIT

	U	V
X	$x_1 u_2$	$x_1 v_2$
Y	$y_1 u_2$	$y_1 v_2$

$$S = x_1 u_2 + y_1 u_2 + y_1 v_2 - x_1 v_2$$

$$S = x_1(u_2 - v_2) + y_1(u_2 + v_2) = \pm 2$$

AVERAGE OF  $S$

~~$$-2 \leq \bar{S} \leq 2$$~~

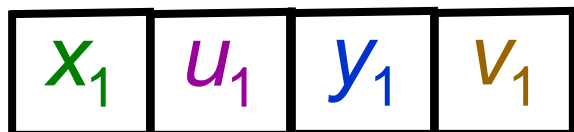
$$\text{QM: } S = 2\sqrt{2} = 2.828$$

# Quantum key distribution using entanglement

2-QUBIT BELL ENTANGLED STATE:

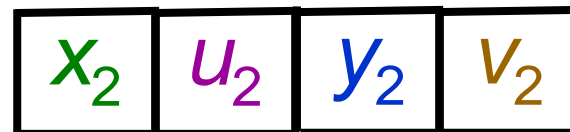
$$|\psi\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$$

1ST QUBIT



X U Y V

2ND QUBIT



X U Y V

2ND QUBIT

X

U

Y

V

X

$x_1x_2 = -1$   
Key

$x_1u_2$   
S

$x_1y_2$   
-

$x_1v_2$   
S

U

$u_1x_2$   
S'

$u_1u_2 = -1$   
Key

$u_1y_2$   
S'

$u_1v_2$   
-

Y

$y_1x_2$   
-

$y_1u_2$   
S

$y_1y_2 = -1$   
Key

$y_1v_2$   
S

V

$v_1x_2$   
S'

$v_1u_2$   
-

$v_1y_2$   
S'

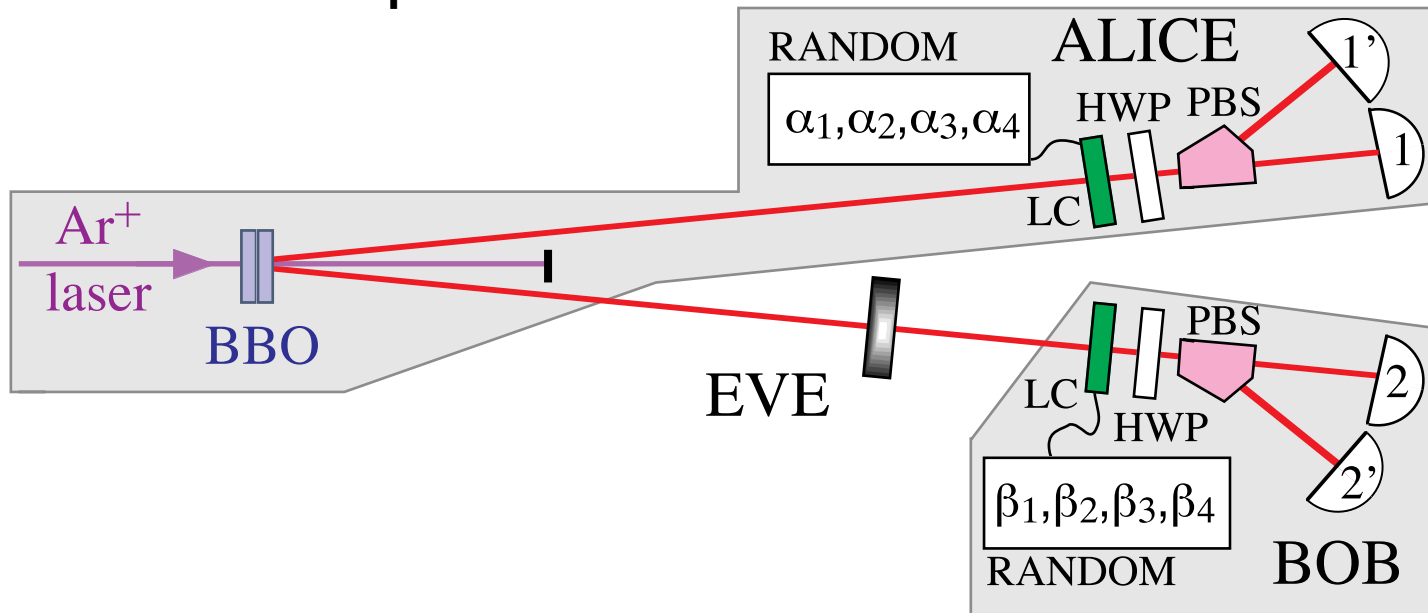
$v_1v_2 = -1$   
Key

1ST QUBIT

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Theory: Ekert, PRL **67**, 661 (1991)  
Experiment: Naik *et al.*, PRL **84**, 4733 (2000)  
Tittel *et al.*, PRL **84**, 4737 (2000)  
Jennewein *et al.*, PRL **84**, 4729 (2000)

## LANL experiment



# Why is quantum key distribution secure?

An unopened box has no bit value waiting to be discovered. Alice and Bob create the key by opening their boxes. Before that, there is no key for Eve to steal.

"There is no there there."

Gertrude Stein damning her native Oakland and inadvertently describing quantum systems.

Essential ingredient: Entanglement between qubits



**This photo shows Jeremy  
Caves walking faster than  
the shutter speed  
somewhere in Australia.**

**Where is it?**

**Echidna Gorge  
Bungle Bungle Range  
Purnululu NP  
Western Australia**

**2004 June 28**