

Lectures 1-2
Phys 521
Schrödinger equation

Lectures 1-2. Schrödinger equation

$$-\frac{\hbar^2}{2m} \nabla^2 \psi + V(\vec{r}) \psi = i\hbar \frac{\partial \psi}{\partial t}$$

Theme: Linearity or superposition principle

Stationary states (normal modes of linear system):

$$\psi_n(\vec{r}, t) = \phi_n(\vec{r}) e^{-i\omega_n t} \quad \leftarrow \text{nothing happens}$$

$$-\frac{\hbar^2}{2m} \nabla^2 \phi_n + V(\vec{r}) \phi_n = \underbrace{\hbar \omega_n}_{E_n} \phi_n \quad \leftarrow \begin{array}{l} \text{eigenvalue problem} \\ \text{Hermiticity} \end{array}$$

$$\text{General solution: } \psi(\vec{r}, t) = \sum_n c_n \phi_n(\vec{r}) e^{-i\omega_n t} \quad \begin{array}{l} \text{linearity +} \\ \text{completeness} \end{array}$$

De Broglie waves

$$p = \frac{h}{\lambda} = \hbar k, \quad k = \frac{2\pi}{\lambda}$$

$$E = h\nu = \hbar\omega, \quad \omega = 2\pi\nu$$

$$\hbar = h/2\pi$$

$$\begin{aligned} \hbar &= 1.05 \times 10^{-34} \text{ J-s} \\ &= 1.05 \times 10^{-27} \text{ erg-s} \\ &= 0.66 \text{ eV-fs} \end{aligned}$$

Small. What mean?

Photons: $E = cp \iff \omega = ck$

$$\omega/k = c$$

$$L \times \frac{M}{T} \quad \begin{array}{l} \text{action} \\ \text{ang mom} \end{array}$$

Non-rel particles: $E = \frac{p^2}{2m} \iff \omega = \frac{\hbar k^2}{2m}$

$$\omega/k = \frac{\hbar k}{2m} = \frac{p}{2m}$$

$$\hbar = 0.66 \text{ eV-fs}$$

$$= 0.66 \frac{\text{eV}}{\frac{\sqrt{2}}{100} \text{ nm/fs}}$$

Plane wave: $\psi(x) = e^{ikx} = e^{(i/\hbar)px}$

Should we take complex numbers seriously?

Real waves

Complex waves

Wave to right

Wave to right

$t=0$

$t=0$

l.i. $\left\{ \begin{array}{l} \cos(kx - \omega t) \\ \sin(kx - \omega t) \end{array} \right.$ $\begin{array}{l} \cos kx \\ \sin kx \end{array}$

gen $\cos(kx - \omega t - \theta)$ $\cos(kx - \theta)$
 \uparrow
 phase

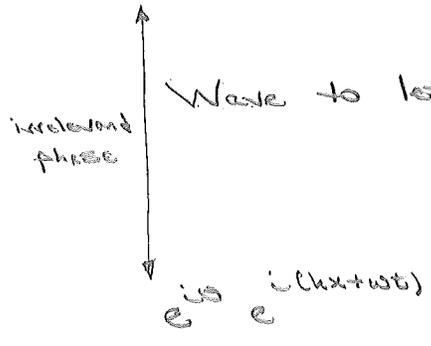
$e^{i\omega} e^{i(kx - \omega t)}$ $e^{i\omega} e^{ikx}$

Wave to left

Wave to left

l.i. $\left\{ \begin{array}{l} \cos(kx + \omega t) \\ \sin(kx - \omega t) \end{array} \right.$ $\begin{array}{l} \cos kx \\ \sin kx \end{array}$

gen $\cos(kx - \omega t - \theta)$ $\cos(kx - \theta)$
 \uparrow
 phase



Need ψ and $\partial\psi/\partial t$
 E B
 2nd order diff eq in t

Need ψ only

1st order diff eq in t

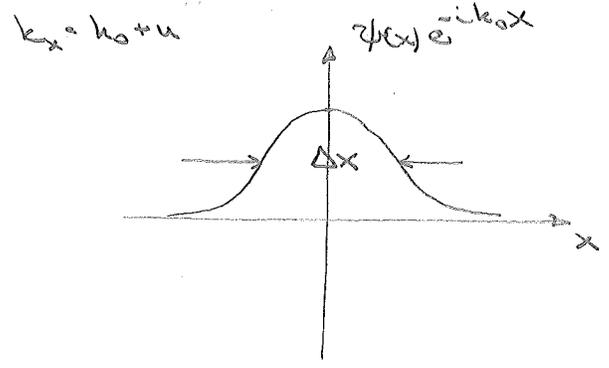
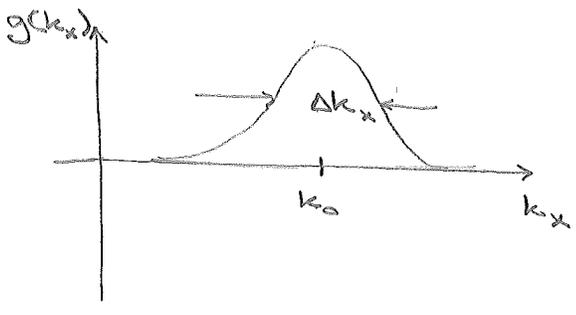
$\psi(\vec{r}, t) = e^{i(\vec{k}\cdot\vec{r} - \omega t)}$

Wave packets:

$$\psi(\vec{r}, t) = \int \frac{d^3k}{(2\pi)^3} g(\vec{k}) e^{i(\vec{k}\cdot\vec{r} - \omega t)} = \int \frac{d^3p}{(2\pi\hbar)^3} \underbrace{\psi(\vec{p})}_{\frac{g(\vec{k})}{\hbar^{3/2}}} e^{i\frac{1}{\hbar}(\vec{p}\cdot\vec{r} - Et)}$$

1-d: $\psi(x,t) = \int_{-\infty}^{\infty} \frac{dk_x}{\sqrt{2\pi}} g(k_x) e^{i(k_x x - \omega(k_x) t)}$

$t=0: \psi(x) = \int_{-\infty}^{\infty} \frac{dk_x}{\sqrt{2\pi}} g(k_x) e^{ik_x x} = e^{ik_0 x} \int_{-\infty}^{\infty} \frac{du}{\sqrt{2\pi}} g(k_0+u) e^{iux}$



$\Delta x \Delta k_x \gtrsim 1$

Uncertainty relation: $\Delta x \Delta p_x \gtrsim \hbar$

How get a wave packet displaced to x_0 ?

$g(k_x) \rightarrow g(k_x) e^{-ik_x x_0}$

$t > 0: \psi(x,t) = \int_{-\infty}^{\infty} \frac{dk_x}{\sqrt{2\pi}} g(k_x) \exp[i(k_x x - \omega(k_x) t)]$

$$i(k_x x - \omega(k_x) t) = \underbrace{i(k_x - k_0)x - \omega(k_x) t + \omega(k_0) t}_{\text{dispersionless propagation}}$$

$$= \underbrace{\frac{d\omega}{dk_x} \Big|_{k_x=k_0} (k_x - k_0) t - \frac{1}{2} \frac{d^2\omega}{dk_x^2} \Big|_{k_x=k_0} (k_x - k_0)^2 t - \dots}_{\text{wave-packet spreading (dispersion)}}$$

dispersion-free propagation = $e^{-i\omega_0 t} e^{ik_0 x} \int_{-\infty}^{\infty} \frac{du}{\sqrt{2\pi}} g(k_0+u) e^{i\omega(x - v_{g0} t)}$

Maximum moves to right at group velocity

$$V_{go} = \left. \frac{d\omega}{dk_x} \right|_{k_x=k_0} = \frac{\hbar k_0}{m} = \frac{p_0}{m}$$

Spreading: $\Delta x_t \sim \frac{\Delta p_x}{m} t \gtrsim \frac{\hbar}{m(\Delta x)_0} t$

Gaussian wave packets - important example

Contracting wave packets

Key point: superposition (required if all these were packets are allowed)

Statistical interpretation:

$$|\Psi(\vec{x}, t)|^2 d^3x = \left(\begin{array}{l} \text{probability to find particle} \\ \text{within } d^3x \text{ at time } t \end{array} \right)$$

$$\langle f(x) \rangle_t = \int d^3x f(x) |\Psi(x, t)|^2$$

$$\Psi(x, t) = \int_{-\infty}^{\infty} \frac{dp_x}{\sqrt{2\pi\hbar}} \bar{\Psi}(p_x) e^{\frac{i}{\hbar}(p_x x - Et)} = \int_{-\infty}^{\infty} \frac{dp_x}{\sqrt{2\pi\hbar}} \underbrace{\bar{\Psi}(p_x) e^{-i\omega t}}_{\bar{\Psi}(p_x, t)} e^{\frac{i}{\hbar} p_x x}$$

$$\bar{\Psi}(p_x, t) = \int_{-\infty}^{\infty} \frac{dx}{\sqrt{2\pi\hbar}} \Psi(x, t) e^{-\frac{i}{\hbar} p_x x}$$

$$\left[\begin{aligned} \Psi(x, t) &= \int \frac{dp_x'}{\sqrt{2\pi\hbar}} e^{\frac{i}{\hbar} p_x' x} \int \frac{dx'}{\sqrt{2\pi\hbar}} e^{-\frac{i}{\hbar} p_x' x'} \Psi(x', t) \\ &= \int dx' \Psi(x', t) \underbrace{\int \frac{d(p_x'/\hbar)}{2\pi} e^{\frac{i}{\hbar}(p_x' x - p_x' x')}}_{\delta(x-x')} \\ &= \Psi(x, t) \end{aligned} \right]$$

$$|\bar{\psi}(\vec{p}, t)|^2 d^3p = \left(\begin{array}{l} \text{probability for particle to have} \\ \text{momentum within } d^3p \text{ at time } t \end{array} \right)$$

Normalization: $1 = \int d^3x |\psi(\vec{x}, t)|^2 = \int d^3p |\bar{\psi}(\vec{p}, t)|^2$

$$\langle P_x \rangle_t = \int dp_x |\bar{\psi}(p_x, t)|^2 p_x$$

$$= \int dp_x p_x \int \frac{dx}{\sqrt{2\pi\hbar}} \psi(x, t) e^{-\frac{i}{\hbar} p_x x} \int \frac{dx'}{\sqrt{2\pi\hbar}} \psi^*(x', t) e^{\frac{i}{\hbar} p_x x'}$$

$$= \int dx' \psi^*(x', t) \int dx \psi(x, t) \int \frac{d(p_x/\hbar)}{2\pi} p_x e^{-\frac{i}{\hbar} p_x (x-x')}$$

$$= \int dx \psi^*(x', t) \int \frac{d(p_x/\hbar)}{2\pi} p_x e^{-\frac{i}{\hbar} p_x (x-x')} \delta(x-x')$$

$$= \int dx \psi^*(x', t) \frac{\hbar}{i} \frac{\partial}{\partial x} \psi(x, t)$$

$$= \int dx \psi^*(x', t) \frac{\hbar}{i} \frac{\partial}{\partial x} \psi(x, t)$$

$$\langle f(p_x) \rangle_t = \int dx \psi^*(x, t) f\left(\frac{\hbar}{i} \frac{\partial}{\partial x}\right) \psi(x, t)$$

Rigorous uncertainty relation: $\Delta x \Delta p_x \geq \frac{\hbar}{2}$
 ↑ ↑
 uncertainties

Schrödinger equation:

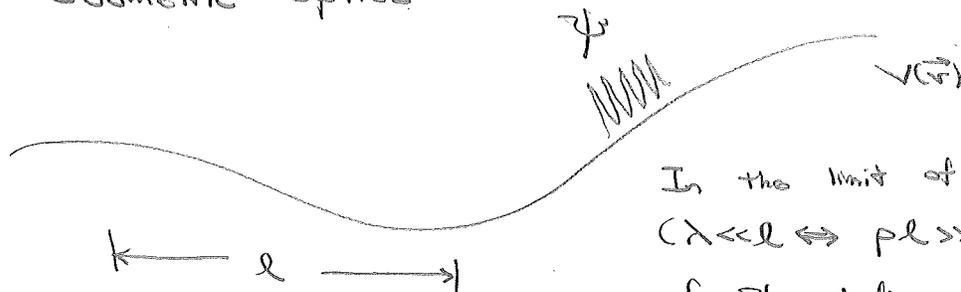
$$\text{Free particle: } -\frac{\hbar^2}{2m} \nabla^2 \psi = i\hbar \frac{\partial \psi}{\partial t}$$

$$\text{FT } \frac{p^2}{2m} \bar{\psi} = i\hbar \frac{\partial \bar{\psi}}{\partial t} \Rightarrow \bar{\psi}(\vec{p}, t) = e^{-\frac{i}{\hbar} E t} \bar{\psi}(\vec{p}, 0)$$

What about a potential $V(\vec{r}, t)$?

① Follow a wave packet to see if it follows a classical trajectory. Ehrenfest's theorem. Wave-packet spreading defects.

② Geometric optics



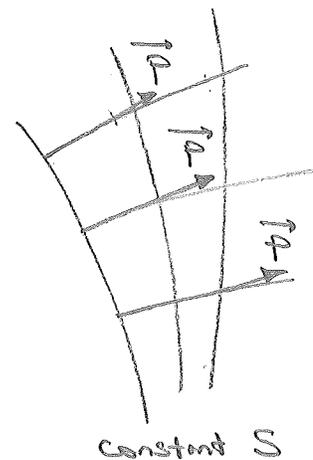
In the limit of short wavelengths ($\lambda \ll l \Leftrightarrow p l \gg \hbar$), the rays of ψ define classical trajectories. Here ψ defines a congruence of trajectories.

$$\psi(\vec{r}, t) = A(\vec{r}, t) \exp\left(\frac{i}{\hbar} S(\vec{r}, t)\right)$$

$$P(\vec{r}, t) = A^2(\vec{r}, t) = \begin{pmatrix} \text{probability} \\ \text{density} \end{pmatrix}$$

$$\frac{1}{\hbar} S(\vec{r}, t) = \text{(phase)}$$

$$\vec{p} = \nabla S$$



$$\begin{aligned}
 0 &= -\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi - i\hbar \frac{\partial \psi}{\partial t} \\
 &= e^{iS/\hbar} \left(\frac{\hbar^2}{2m} \left(A \left(\frac{|\nabla S|^2}{2m} + V + \frac{\partial S}{\partial t} \right) - \frac{\hbar^2}{2m} \nabla^2 A \right) \right. \\
 &\quad \left. - i\hbar \left(\frac{\nabla A \cdot \nabla S}{m} + \frac{A \nabla^2 S}{2m} + \frac{\partial A}{\partial t} \right) \right) \\
 &= \frac{1}{2A} \left(\frac{\partial P}{\partial t} + \frac{\nabla P \cdot \mathbf{p}}{m} + P \frac{\nabla \cdot \mathbf{p}}{m} \right) \\
 &= \frac{1}{2A} \left(\frac{\partial P}{\partial t} + \frac{\nabla \cdot (P \mathbf{p})}{m} \right) \\
 &= 0 \quad (\text{conservation of probability})
 \end{aligned}$$

Classical trajectories: $\frac{|\nabla S|^2}{2m} + V + \frac{\partial S}{\partial t} = 0$

$$\begin{aligned}
 \frac{d\mathbf{p}}{dt} &= \frac{\mathbf{p}(\mathbf{r} + \Delta \mathbf{r}, t + \Delta t) - \mathbf{p}(\mathbf{r}, t)}{\Delta t} \\
 &= \sum_i \frac{\partial \mathbf{p}}{\partial x_i} \frac{\Delta x_i}{\Delta t} + \frac{\partial \mathbf{p}}{\partial t} \Delta t \\
 &= \frac{1}{\Delta t} \sum_i \frac{\partial (\nabla S)}{\partial x_i} \frac{\partial S}{\partial x_i} + \frac{\partial \nabla S}{\partial t} \Delta t \\
 &= \frac{1}{\Delta t} \nabla \left(\sum_i \frac{\partial S}{\partial x_i} \frac{\partial S}{\partial x_i} \right) + \nabla \frac{\partial S}{\partial t} \Delta t \\
 &= \nabla \left(\frac{\nabla S \cdot \nabla S}{2m} + \frac{\partial S}{\partial t} \right)
 \end{aligned}$$

$\nabla \cdot \mathbf{p} = 0$
 $S = -Et + W(\mathbf{x})$
 $\mathbf{p} = \frac{\partial S}{\partial \mathbf{x}} = \frac{\partial W}{\partial \mathbf{x}}$
 $\frac{\hbar^2}{2m} + V = E$
 $\frac{\partial \mathbf{p}}{\partial x} (P \mathbf{p}) = 0$
 $P(\mathbf{x}) \propto \frac{1}{\sqrt{v}}$
 $P(\mathbf{x}) dx \propto dt$

$$-\nabla V = \frac{dp}{dt} = \nabla \left(\frac{\nabla S \cdot \nabla S}{2m} + \frac{\partial S}{\partial t} \right)$$

$$0 = \nabla \left(\frac{\nabla S \cdot \nabla S}{2m} + V + \frac{\partial S}{\partial t} \right)$$

Quantum: $\frac{|\nabla S|^2}{2m} + V - \underbrace{\frac{\hbar^2}{2m} \frac{1}{A} \nabla^2 A}_{\text{Quantum potential}} = -\frac{\partial S}{\partial t}$

Quantum potential

① Responsible for wave-packet spreading

Spreading is biggest when A gets large. Singularities in A at classical turning points are eliminated.

Feedback from A to S , absent in H-J theory



② Responsible for superposition by making Schrödinger equation linear.

Makes ψ nonzero in classically forbidden regions: discrete bound states, tunneling.



Schrödinger equation and H-J theory

$$-\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi = i\hbar \frac{\partial \psi}{\partial t}$$

$$\psi = A(\vec{r}, t) e^{\frac{i}{\hbar} S(\vec{r}, t)}$$

$$|\psi|^2 = P(\vec{r}, t) = A^2(\vec{r}, t)$$

$$\vec{p}(\vec{r}, t) = \nabla S$$

$$\nabla \psi = \left(\nabla A + \frac{i}{\hbar} A \nabla S \right) e^{\frac{i}{\hbar} S}$$

$$\nabla^2 \psi = \left(\nabla^2 A + \frac{2i}{\hbar} \nabla A \cdot \nabla S + \frac{i}{\hbar} A \nabla^2 S - \frac{1}{\hbar^2} A |\nabla S|^2 \right) e^{\frac{i}{\hbar} S}$$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi = \left(A \frac{|\nabla S|^2}{2m} - \frac{2i\hbar}{2m} \nabla A \cdot \nabla S - \frac{i\hbar}{2m} A \nabla^2 S - \frac{\hbar^2}{2m} \nabla^2 A \right) e^{\frac{i}{\hbar} S}$$

$$\frac{\partial \psi}{\partial t} = \left(\frac{\partial A}{\partial t} + \frac{i}{\hbar} A \frac{\partial S}{\partial t} \right) e^{\frac{i}{\hbar} S}$$

$$i\hbar \frac{\partial \psi}{\partial t} = \left(-A \frac{\partial S}{\partial t} + i\hbar \frac{\partial A}{\partial t} \right) e^{\frac{i}{\hbar} S}$$

$$0 = -\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi - i\hbar \frac{\partial \psi}{\partial t}$$

= 0 (QM)

(B)

$$0 = e^{iS/\hbar} \left(A \left(\frac{|\nabla S|^2}{2m} + V + \frac{\partial S}{\partial t} \right) - \frac{\hbar^2}{2m} \nabla^2 A \right)$$

$V = 0$ (H-J equation)

↑
quantum potential
(wave-packet spreading)

$$- i\hbar \left(\frac{\nabla A \cdot \nabla S}{m} + A \frac{\nabla^2 S}{2m} + \frac{\partial A}{\partial t} \right)$$

$$= \frac{1}{2A} \left(\frac{\partial p}{\partial t} + \frac{\nabla p \cdot \vec{p}}{m} + p \frac{\nabla \cdot \vec{p}}{m} \right)$$

$$= \frac{1}{2A} \left(\frac{\partial p}{\partial t} + \frac{\nabla \cdot (p \vec{p})}{m} \right)$$

= 0 (continuity equation)

Harmonic oscillator

(A)

H-J theory:

$$\frac{p^2}{2m} + \frac{1}{2} m \omega^2 x^2 = E$$

$$S' = p = \hbar k = \pm \sqrt{2m \left(E - \frac{1}{2} m \omega^2 x^2 \right)} = \pm \sqrt{2mE} \left(1 - \frac{m\omega^2 x^2}{2E} \right)^{1/2}$$

$$k = \pm \frac{\sqrt{2mE}}{\hbar} \left(1 - \frac{m\omega^2 x^2}{2E} \right)^{1/2} = \pm k_0 \left(1 - x^2/x_0^2 \right)^{1/2}$$

$$\frac{p_0}{\hbar} = k_0 = \frac{\sqrt{2mE}}{\hbar}, \quad x_0 = \sqrt{\frac{2E}{m\omega^2}}, \quad \frac{x_0 p_0}{\hbar} = \frac{2E}{\hbar\omega}$$

$$\text{constant} = P(x) k \Rightarrow P(x) = A^2(x) = \frac{\text{const}}{k} = \frac{\text{const}}{\sqrt{1 - x^2/x_0^2}}$$

$$S(x) = \pm \hbar k_0 \int_0^x dx' \left(1 - x'^2/x_0^2 \right)^{1/2} = \pm k_0 x_0 \int_0^{\sin^{-1}(x/x_0)} du \cos^2 u$$

$$x' = x_0 \sin u$$

$$S(x) = \pm \frac{1}{2} \hbar k_0 x_0 \int_0^{\sin^{-1}(x/x_0)} du (1 + \cos 2u)$$
$$= \sin^{-1}(x/x_0) + \frac{1}{2} \sin 2u \Big|_0^{\sin^{-1}(x/x_0)}$$

$$S(x) = \pm \frac{1}{2} \hbar k_0 x_0 \left(\sin^{-1}(x/x_0) + \frac{x}{x_0} \cos(\sin^{-1}(x/x_0)) \right), \quad |x| \leq x_0$$

$$\psi(x) = \frac{\text{const}}{\sqrt{1 - x^2/x_0^2}} \exp \left[\pm \frac{i}{\hbar} k_0 x_0 \left(\sin^{-1}(x/x_0) + \frac{x}{x_0} \cos(\sin^{-1}(x/x_0)) \right) \right]$$

Superpose \pm to get standing waves