

To: *R. Schack*

From: *C. M. Caves*

Subject: **Constructing an orthonormal basis from an arbitrary basis**

1996 May 23

Consider an arbitrary set of basis vectors  $|\phi_k\rangle$  (linearly independent vectors that span a vector space). There are many ways—e.g., Gram-Schmidt orthogonalization—to construct an orthonormal basis from this arbitrary basis. The aim here is to give a procedure that is *democratic* in the sense that it treats all the vectors  $|\phi_k\rangle$  on the same footing.

Define the operator

$$\hat{G} \equiv \sum_k |\phi_k\rangle\langle\phi_k| . \quad (1)$$

This operator is manifestly positive, because

$$\langle\psi|\hat{G}|\psi\rangle = \sum_k |\langle\phi_k|\psi\rangle|^2 \geq 0 , \quad (2)$$

and thus has a positive square root  $\hat{G}^{1/2}$ . Moreover, it is easy to show that  $\hat{G}$  is positive definite. Any vector  $|\psi\rangle$  can be expanded (uniquely) as

$$|\psi\rangle = \sum_k c_k |\phi_k\rangle , \quad (3)$$

which implies that

$$\langle\psi|\psi\rangle = \sum_k c_k \langle\psi|\phi_k\rangle . \quad (4)$$

Suppose that a vector  $|\psi\rangle$  satisfies

$$0 = \langle\psi|\hat{G}|\psi\rangle = \sum_k |\langle\phi_k|\psi\rangle|^2 . \quad (5)$$

This implies that  $\langle\phi_k|\psi\rangle = 0$  for all  $k$ . Hence, from (4), we have that  $\langle\psi|\psi\rangle = 0$ , which implies that  $|\psi\rangle = 0$ . Thus  $\hat{G}$  is positive definite, which means that its square root has an inverse  $\hat{G}^{-1/2}$ .

Now notice that

$$\hat{1} = \hat{G}^{-1/2}\hat{G}\hat{G}^{-1/2} = \sum_k |\psi_k\rangle\langle\psi_k| , \quad (6)$$

where

$$|\psi_k\rangle \equiv \hat{G}^{-1/2}|\phi_k\rangle . \quad (7)$$

Any vectors  $|\psi_k\rangle$  that satisfy the completeness condition (6) span the space, for an arbitrary vector  $|\psi\rangle$  can be expanded as

$$|\psi\rangle = \sum_k |\psi_k\rangle\langle\psi_k|\psi\rangle . \quad (8)$$

Moreover, the linear independence of the original basis vectors  $|\phi_k\rangle$  implies immediately that the vectors  $|\psi_k\rangle$  are linearly independent. Linearly independent vectors that satisfy the completeness condition are orthonormal, for the uniqueness of the expansion

$$|\psi_l\rangle = \sum_k |\psi_k\rangle \langle \psi_k | \psi_l \rangle \quad (9)$$

implies that

$$\langle \psi_k | \psi_l \rangle = \delta_{kl} . \quad (10)$$

Thus the vectors  $|\psi_k\rangle$  make up an orthonormal basis.

I learned this procedure from Ben Schumacher, who extracted it from the  $\rho$ -distortion technique introduced by Hughston, Jozsa, and Wootters. The procedure could be used, for example, to convert a basis of nonorthogonal vectors localized on phase space into an orthonormal basis of localized vectors.