

Continuous quantum error correction via quantum feedback control

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We describe a protocol for continuously protecting *unknown* quantum states from decoherence that incorporates design principles from both quantum error correction and quantum feedback control. Our protocol uses continuous measurements and Hamiltonian operations, which are weaker control tools than are typically assumed for quantum error correction. We develop a cost function appropriate for unknown quantum states and use it to optimize our state-estimate feedback. Using Monte Carlo simulations, we study our protocol for the three-qubit bit-flip code in detail and demonstrate that it can improve the fidelity of quantum states beyond what is achievable using quantum error correction when the time between quantum error-correction cycles is limited.

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I. INTRODUCTION

Long-lived coherent quantum states are essential for many quantum information science applications, including quantum cryptography [1], quantum computation [2,3], and quantum teleportation [4]. Unfortunately, coherent quantum states have extremely short lifetimes in realistic open quantum systems due to strong decohering interactions with the environment. Overcoming this decoherence is the chief hurdle faced by experimenters studying quantum-limited systems.

Quantum error correction is a “software solution” to this problem [5,6]. It works by redundantly encoding quantum information across many quantum systems. The key to this approach is the use of measurements that reveal information about which errors have occurred and not about the encoded data. This feature is particularly useful for protecting the unknown quantum states that appear frequently in the course of quantum computations. The physical tools used in this approach are projective von Neumann measurements that discretize errors onto a finite set and fast unitary gates that restore corrupted data. When combined with fault-tolerant techniques, and when all noise sources are below a critical value known as the accuracy threshold, quantum error correction enables quantum computations of arbitrary length with arbitrarily small output error, or so-called fault-tolerant quantum computation [7,8].

Quantum feedback control is also sometimes used to combat decoherence [9–11]. This approach has the advantage of working well even when control tools are limited. The information about the quantum state fed into the controller typically comes from continuous measurements and the operations the controller applies in response are typically bounded-strength Hamiltonians. The performance of the feedback may also be optimized relative to the resources that are available. For example, one can design a quantum feedback control scheme which minimizes the distance between a quantum state and its target subject to the constraint that all

available controlling manipulations have bounded strengths [12].

The availability of quantum error correction, which can protect unknown quantum states, and quantum feedback control, which uses weak measurements and slow controls, suggests that there might be a way to merge these approaches into a single technique with all of these features. Previous work to account for continuous time using quantum error correction has focused on “automatic” recovery and has neglected the role of continuous measurement [13–16]. On the other hand, previous work on quantum state protection using quantum feedback control has focused on protocols for known states and has not addressed the issue of protecting unknown quantum states [17,18]; however, see [19] for related work.

The paper is organized as follows. In Sec. II we review quantum feedback control and introduce the formalism of stochastic master equations. In Sec. III we present the three-qubit bit-flip code as a simple example of a quantum error-correcting code which may be generalized using the stabilizer formalism. In Sec. IV we present our protocol for quantum error feedback control and derive an optimal non-Markovian feedback strategy for it. In Sec. V we use Monte Carlo simulations to demonstrate this strategy’s efficacy for the bit-flip code and compare it to discrete quantum error correction when the time between quantum error correction cycles is finite. In Sec. VI we give our conclusions.

II. QUANTUM FEEDBACK CONTROL

Consider an open quantum system evolving via the master equation [20]

$$\dot{\rho} = -i[H, \rho] + \mathcal{D}[c]\rho, \quad (1)$$

where

$$\mathcal{D}[c]\rho = c\rho c^\dagger - \frac{1}{2}c^\dagger c\rho - \frac{1}{2}\rho c^\dagger c. \quad (2)$$

These dynamics may be thought of as the ensemble average over many *quantum trajectories* [23] in which an exter-

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nal agent (such as the environment) continuously performs a weak measurement [22] with Kraus operators (for example) [21]

$$\Omega_0 = 1 - \left(iH + \frac{1}{2} c^\dagger c \right) dt, \quad (3)$$

$$\Omega_1 = c \sqrt{dt}. \quad (4)$$

On any particular trajectory, the dynamics obey a stochastic master equation (SME) [20,24], such as

$$d\rho_c = -i[H, \rho_c]dt + \mathcal{D}[c]\rho_c dt + \mathcal{H}[c]\rho_c dW, \quad (5)$$

$$dQ = \langle c + c^\dagger \rangle_c dt + dW, \quad (6)$$

where

$$\mathcal{H}[c]\rho = c\rho + \rho c^\dagger - \rho \operatorname{tr}[c\rho + \rho c^\dagger]. \quad (7)$$

The c subscript above denotes conditioning on the full record of the measurement current $Q(t)$, and dW above denotes a diffusive Wiener increment [25] having ensemble mean $E[dW] = 0$ and variance $V[dW] = dt$. The unraveling of the master equation into quantum trajectories is not unique; the diffusive unraveling above occurs, for example, when the agent performs weak homodyne measurements on an optical field c [24].

The quantum trajectories picture is particularly useful from a control theory perspective because we can imagine an agent who, instead of disposing of the classical measurement record, feeds it back into the system to control it. There are two well-studied ways of doing this: Wiseman-Milburn, or *current* feedback [9,24], and *estimate* feedback [12].

In current feedback, the feedback depends only on the instantaneous measurement current $I_Q(t) = dQ(t)/dt$. For example, adding the Hamiltonian $I_Q(t)F$ to the SME (5) using current feedback leads to the dynamics [9]

$$\begin{aligned} d\rho_c(t) = & -i[H, \rho_c(t)]dt + \mathcal{D}[c]\rho_c(t)dt + \mathcal{H}[c]\rho_c(t)dW(t) \\ & -i[F, c\rho_c(t) + \rho_c(t)c^\dagger]dt + \mathcal{D}[F]\rho_c(t)dt \\ & -i[F, \rho_c(t)]dW \end{aligned} \quad (8)$$

$$dQ(t) = \langle c + c^\dagger \rangle_c dt + dW(t). \quad (9)$$

A more general way to add feedback is to modulate the Hamiltonian by a functional of the entire measurement record. An important class of this kind of feedback is estimate feedback, in which feedback is a function of the current conditioned state estimate ρ_c . This kind of feedback is of especial interest because of the quantum Bellman theorem [26], which proves that the optimal feedback strategy will be a function only of conditioned state expectation values for a large class of physically reasonable cost functions. An example of such an estimate feedback control law analogous to the current feedback Hamiltonian used in Eq. (8) is to add the Hamiltonian $\langle I_Q(t) \rangle_c F = \langle c + c^\dagger \rangle_c F$, which depends on what we *expect* the current $I_Q(t)$ should be given the previ-

ous measurement history rather than its actual instantaneous value. Adding this feedback to the SME (5) leads to the dynamics

$$\begin{aligned} d\rho_c(t) = & -i[H, \rho_c(t)]dt + \mathcal{D}[c]\rho_c(t)dt + \mathcal{H}[c]\rho_c(t)dW(t) \\ & -i\langle I_Q \rangle_c [F, \rho_c(t)]dt, \end{aligned} \quad (10)$$

$$dQ(t) = \langle c + c^\dagger \rangle_c dt + dW(t). \quad (11)$$

III. QUANTUM ERROR CORRECTION

Although quantum feedback control has many merits, it has not been used to protect unknown quantum states from noise. Quantum error correction, however, is specifically designed to protect unknown quantum states; for this reason it has been an essential ingredient in the design of quantum computers [27–29]. The salient aspects of quantum error correction can already be seen in the three-qubit bit-flip code, even though it is not a fully quantum error correcting code. For that reason, we shall introduce quantum error correction and the stabilizer formalism with this example.

The bit-flip code protects a single two-state quantum system, or qubit, from bit-flipping errors by mapping it onto the state of three qubits:

$$|0\rangle \rightarrow |000\rangle \equiv |\bar{0}\rangle, \quad (12)$$

$$|1\rangle \rightarrow |111\rangle \equiv |\bar{1}\rangle. \quad (13)$$

The states $|\bar{0}\rangle$ and $|\bar{1}\rangle$ are called the *basis states* for the code and the space spanned by them is called the *codespace*, whose elements are called *codewords*.

After the qubits are subjected to noise, quantum error correction proceeds in two steps. First, the parities of neighboring qubits are projectively measured. These are the observables¹

$$M_0 = ZZI, \quad (14)$$

$$M_1 = IZZ. \quad (15)$$

The *error syndrome* is the pair of eigenvalues (m_0, m_1) returned by this measurement.

Once the error syndrome is known, the second step is to apply one of the following unitary operations conditioned on the error syndrome:

$$(-1, +1) \rightarrow XII, \quad (16)$$

$$(-1, -1) \rightarrow IXI, \quad (17)$$

$$(+1, -1) \rightarrow IIX, \quad (18)$$

$$(+1, +1) \rightarrow III. \quad (19)$$

¹We use the notation of [27] in which X , Y , and Z denote the Pauli matrices σ_x , σ_y , and σ_z , respectively, and their concatenation denotes a tensor product (e.g., $ZZI = \sigma_z \otimes \sigma_z \otimes I$).

This procedure has two particularly appealing characteristics: the error syndrome measurement does not distinguish between the codewords, and the projective nature of the measurement discretizes all possible quantum errors onto a finite set. These properties hold for general stabilizer codes as well [27].

If the bit-flipping errors arise from reservoir-induced decoherence, then prior to quantum error correction the qubits evolve via the master equation

$$d\rho_{\text{noise}} = \gamma(\mathcal{D}[XII] + \mathcal{D}[IXI] + \mathcal{D}[IIX])\rho dt, \quad (20)$$

where γdt is the probability of a bit-flip error on each qubit per time interval $[t, t + dt]$. This master equation has the solution

$$\begin{aligned} \rho(t) = & a(t)\rho_0 + b(t)(XII\rho_0XII + IXI\rho_0IXI + IIX\rho_0IIX) \\ & + c(t)(XXI\rho_0XXI + XIX\rho_0XIX + IXX\rho_0IIX) \\ & + d(t)XXX\rho_0XXX, \end{aligned} \quad (21)$$

where

$$a(t) = (1 + 3e^{-2\gamma t} + 3e^{-4\gamma t} + e^{-6\gamma t})/8, \quad (22)$$

$$b(t) = (1 + e^{-2\gamma t} - e^{-4\gamma t} - e^{-6\gamma t})/8, \quad (23)$$

$$c(t) = (1 - e^{-2\gamma t} - e^{-4\gamma t} + e^{-6\gamma t})/8, \quad (24)$$

$$d(t) = (1 - 3e^{-2\gamma t} + 3e^{-4\gamma t} - e^{-6\gamma t})/8. \quad (25)$$

The functions $a(t) - d(t)$ express the probability that the system is left in a state that can be reached by zero, one, two, or three bit flips from the initial state, respectively. After quantum error correction is performed, single errors are identified correctly but double and triple errors are not. As a result, the recovered state, averaged over all possible measurement syndromes, is

$$\rho = [a(t) + b(t)]\rho_0 + [c(t) + d(t)]XXX\rho_0XXX. \quad (26)$$

The overlap of this state with the initial state depends on the initial state, but is at least as large as when the initial state is $|\bar{0}\rangle$; namely, it is at least as large as

$$F_{enc} = (2 + 3e^{-2\gamma t} - e^{-6\gamma t})/4 \approx 1 - 3(\gamma t)^2. \quad (27)$$

Recalling that a single qubit subject to this decoherence has error probability $p = \gamma t$, we see that, when applied sufficiently often, the bit-flip code reduces the error probability on each qubit from $\mathcal{O}(p)$ to $\mathcal{O}(p^2)$.

This methodology for mapping $p \rightarrow p^2$ generalizes for a full stabilizer code in which stabilizer generators $\{M_i\}$ are measured to infer an error syndrome which is subsequently used to apply a unitary correction. For more details regarding this formalism, see Ref. [27].

IV. CONTINUOUS QUANTUM ERROR CORRECTION VIA QUANTUM FEEDBACK CONTROL

In this section we present a method for continuously protecting an unknown quantum state using weak measurement, state estimation, and Hamiltonian correction. As in the preceding section, we introduce this method via the bit-flip code.

A. Bit-flip code: Theoretical model

Suppose ρ is subjected to bit-flipping decoherence as in Eq. (20); to protect against such decoherence, we have seen that we can encode ρ using the bit-flip code [(12) and (13)]. Here we shall define a similar protocol that operates continuously and uses only weak measurements and slow corrections.

The first part of our protocol is to weakly measure the stabilizer generators ZZI and IZZ for the bit-flip code, even though these measurements will not completely collapse the errors. To localize the errors even further, we also measure the remaining nontrivial stabilizer operator ZIZ .² The second part of our protocol is to apply the slow Hamiltonian corrections XII , IXI , and IIX corresponding to the unitary corrections XII , IXI , and IIX , with control parameters λ_k that are to be determined. If we parametrize the measurement strength by κ and perform the measurements using the unraveling (5)–(6), the SME describing our protocol is

$$\begin{aligned} d\rho_c = & \gamma(\mathcal{D}[XII] + \mathcal{D}[IXI] + \mathcal{D}[IIX])\rho_c dt \\ & + \kappa(\mathcal{D}[ZZI] + \mathcal{D}[IZZ] + \mathcal{D}[ZIZ])\rho_c dt \\ & + \sqrt{\kappa}(\mathcal{H}[ZZI]dW_1 + \mathcal{H}[IZZ]dW_2 + \mathcal{H}[ZIZ]dW_3)\rho_c \\ & - i[F, \rho_c]dt, \end{aligned} \quad (28)$$

$$dQ_1 = 2\kappa\langle ZZI \rangle_c dt + \sqrt{\kappa}dW_1, \quad (29)$$

$$dQ_2 = 2\kappa\langle IZZ \rangle_c dt + \sqrt{\kappa}dW_2, \quad (30)$$

$$dQ_3 = 2\kappa\langle ZIZ \rangle_c dt + \sqrt{\kappa}dW_3, \quad (31)$$

where

$$F = \lambda_1 XII + \lambda_2 IXI + \lambda_3 IIX \quad (32)$$

is the feedback Hamiltonian having control parameters λ_k .

Following the logic of quantum error correction, it is natural to choose the λ_k to be functions of the error syndrome. For example, the choice

$$\lambda_1 = \frac{\lambda}{8}(1 - \langle ZZI \rangle_c)(1 + \langle IZZ \rangle_c)(1 - \langle ZIZ \rangle_c),$$

²The modest improvement gained by this extra measurement in general is offset by an unfavorable scaling in the number of extra measurements required when applied to general $[[n, k, d]]$ codes having 2^{n-k} stabilizer elements and only $n-k$ generators.

$$\lambda_2 = \frac{\lambda}{8} (1 - \langle ZZI \rangle_c) (1 - \langle IZZ \rangle_c) (1 + \langle ZIZ \rangle_c), \quad (33)$$

$$\lambda_3 = \frac{\lambda}{8} (1 + \langle ZZI \rangle_c) (1 - \langle IZZ \rangle_c) (1 - \langle ZIZ \rangle_c),$$

where λ is the maximum feedback strength that can be applied, is reasonable:³ it acts trivially when the state is in the codespace and applies a maximal correction when the state is orthogonal to the codespace. Unfortunately this feedback is sometimes harmful when it need not be. For example, when the controller receives no measurement inputs (i.e., $\kappa = 0$), it still adds an extra coherent evolution which, on average, will drive the state of the system away from the state we wish to protect.

This weakness of the feedback strategy suggests that we should choose our feedback more carefully. To do this, we introduce a cost function describing how far away our state is from its target and choose a control which minimizes this cost. The difficulty is that our target is an *unknown* quantum state. However, we can choose the target to be the codespace, which we do know. We choose our cost function, therefore, to be the norm of the component of the state outside the codespace. Since the codespace projector is $\Pi_c = \frac{1}{4} (III + ZZI + ZIZ + IZZ)$, the cost function is $1 - f$, where $f(\rho) = \text{tr}(\rho \Pi_c)$. Under the SME (28), the time evolution of f due to the feedback Hamiltonian F is

$$\begin{aligned} \frac{df_{fb}}{dt} = & 2\lambda_1 \langle YZI + YIZ \rangle_c + 2\lambda_2 \langle ZYI + IYZ \rangle_c \\ & + 2\lambda_3 \langle ZIY + IZY \rangle_c. \end{aligned} \quad (34)$$

Maximizing df_{fb}/dt minimizes the cost, yielding the optimal feedback coefficients

$$\begin{aligned} \lambda_1 &= \lambda \text{sgn} \langle YZI + YIZ \rangle_c, \\ \lambda_2 &= \lambda \text{sgn} \langle ZYI + IYZ \rangle_c, \\ \lambda_3 &= \lambda \text{sgn} \langle ZIY + IZY \rangle_c, \end{aligned} \quad (35)$$

where, again, λ is the maximum feedback strength that can be applied.

This feedback scheme is a *bang-bang* control scheme, meaning that the control parameters λ_k are always at the maximum or minimum value possible (λ or $-\lambda$, respectively), which is a typical control solution both classically [30] and quantum mechanically [31]. In practice, the bang-bang optimal controls (35) can be approximated by a bandwidth-limited sigmoid, such as a hyperbolic tangent function.

The control solution (35) requires the controller to integrate the SME (28) using the measurement currents $Q_i(t)$ and the initial condition ρ_c . However, typically the initial

³The factor of $\frac{1}{8}$ is included to limit the maximal strength of any parameter λ_k to λ .

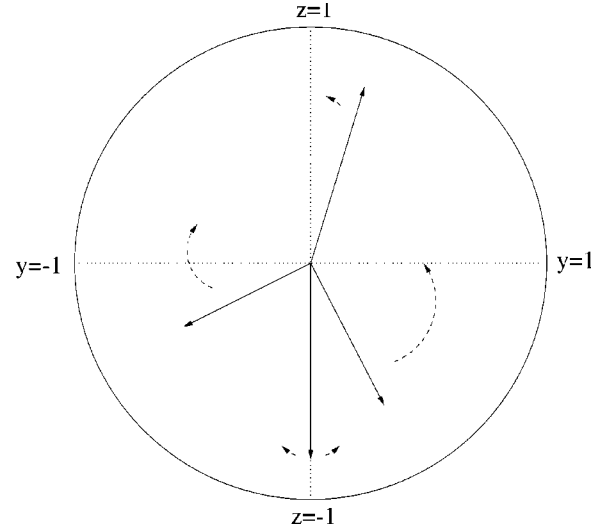


FIG. 1. Bloch sphere showing the action of our feedback scheme on one qubit. Wherever the Bloch vector is in the y - z plane, the feedback forces it back to the spin-up state, which is the codespace of this system. All the vectors shown lie, without loss of generality, in the $x=0$ plane.

state $\rho_c(0)$ will be unknown. Fortunately the calculation of the feedback (35) does not depend on where the initial condition is within the codespace, so the controller may assume the maximally mixed initial condition $\rho_e = \frac{1}{2}(|\bar{0}\rangle\langle\bar{0}| + |\bar{1}\rangle\langle\bar{1}|)$ for its calculations. This property generalizes for a wide class of stabilizer codes, as we prove in the Appendix, and we conjecture that this property holds for all stabilizer codes.

B. Intuitive one-qubit picture

Before generalizing our procedure, it is helpful to gain some intuition about how it works by considering an even simpler “code”: the spin-up state (i.e., $|0\rangle$) of a single qubit. The stabilizer is $M_0 = Z$, the noise it protects against is bit flips X , and the correction Hamiltonian is proportional to X . The optimal feedback, by a similar analysis to that for the bit-flip code, is $F = \lambda \text{sgn} \langle Y \rangle_c X$, and the resulting stochastic master equation can be rewritten as a set of Bloch sphere equations as follows:

$$d\langle X \rangle_c = -2\kappa \langle X \rangle_c dt - 2\sqrt{\kappa} \langle X \rangle_c \langle Z \rangle_c dW, \quad (36)$$

$$\begin{aligned} d\langle Y \rangle_c = & -2\gamma \langle Y \rangle_c dt - 2\kappa \langle Y \rangle_c - 2\sqrt{\kappa} \langle Y \rangle_c \langle Z \rangle_c dW \\ & - 2\lambda (\text{sgn} \langle Y \rangle_c) \langle Z \rangle_c dt, \end{aligned} \quad (37)$$

$$\begin{aligned} d\langle Z \rangle_c = & -2\gamma \langle Z \rangle_c dt + 2\sqrt{\kappa} (1 - \langle Z \rangle_c^2) dW \\ & + 2\lambda (\text{sgn} \langle Y \rangle_c) \langle Y \rangle_c dt. \end{aligned} \quad (38)$$

The Bloch vector representation ($\langle X \rangle, \langle Y \rangle, \langle Z \rangle$) [3] of the qubit provides a simple geometric picture of how it evolves. Decoherence (the γ term) shrinks the Bloch vector, measurement (the κ terms) lengthens the Bloch vector and moves it closer to the z axis, and correction (the λ term) rotates the Bloch vector in the y - z plane. Figure 1 depicts this evolution:

depending on whether the Bloch vector is in the hemisphere with $\langle Y \rangle > 0$ or $\langle Y \rangle < 0$, the feedback will rotate the vector as quickly as possible in such a way that it is always moving towards the codespace (spin-up state). Note that if the Bloch vector lies exactly on the z -axis with $\langle Z \rangle < 0$, rotating it either way will move it towards the spin-up state—the two directions are equivalent, and it suffices to choose one of them arbitrarily.

C. Feedback for a general code

Our approach generalizes for a full $[[n, k, d]]$ quantum error-correcting code [29], which can protect against depolarizing noise [3] acting on each qubit independently. This noise channel, unlike the bit-flip channel, generates a full range of quantum errors—it applies either X , Y , or Z to each qubit equiprobably at a rate γ . We weakly measure the $n - k$ stabilizer generators $\{M_l\}$ with strength κ . For each syndrome m , we apply a Hamiltonian correction F_m with control strength λ_m . The SME describing this process is

$$d\rho_c = \gamma \sum_{j=x,y,z} \sum_{i=1}^n (\mathcal{D}[\sigma_j^{(i)}])\rho_c dt + \kappa \sum_{l=1}^{n-k} \mathcal{D}[M_l]\rho_c dt + \sqrt{\kappa} \sum_{l=1}^{n-k} \mathcal{H}[M_l] dW_j \rho_c - i \sum_{r=1}^R \lambda_r [F_r, \rho_c] dt. \quad (39)$$

The number of feedback terms R needed will be less than or equal to the number of errors the code corrects against. The reason that this equality is not strict is that quantum error correcting codes can be *degenerate*, meaning that there can exist inequivalent errors that have the same effect on the state—a purely quantum-mechanical property [27].

We optimize the λ_r relative to a cost function equal to the state's overlap with the codespace. For a general stabilizer code \mathcal{C} , the codespace projector is

$$\Pi_{\mathcal{C}} = \frac{1}{2^{n-k}} \prod_{l=1}^{n-k} (I + M_l)$$

and the rate of change of the codespace overlap due to feedback is

$$\frac{df_{fb}}{dt} = -i \operatorname{tr} \sum_{r=0}^{n-k} \lambda_r [\Pi_{\mathcal{C}}, F_r] \rho.$$

Maximizing this overlap subject to a maximum feedback strength λ yields the feedback coefficients

$$\lambda_r = \lambda \operatorname{sgn} \langle [\Pi_{\mathcal{C}}, F_r] \rangle_c. \quad (40)$$

This control solution, as for the bit-flip code, requires a controller to compute the feedback (40). A natural question to ask is how the scaling of the classical computation behaves. In the Appendix we show that the evolution of $(2^{n-k})^2$ parameters must be calculated in order to compute the feedback for an $[[n, k, d]]$ code, which at first does not seem promising. However, if one encodes mk qubits using m copies of an $[[n, k, d]]$ code, as might well be the case for a

quantum memory, the SME (39) will not couple the dynamics of the m logical qubits; and, as in the bit-flip case, the initial condition for the controller's integration can still be the completely mixed state in the total codespace. Then the relevant scaling for this system, the dependence on m , is linear: the number of parameters is $m(2^{n-k})^2$.

V. SIMULATION OF THE BIT-FLIP CODE

In this section we present the results of Monte Carlo simulations of the implementation of the protocol described in Sec. IV for the bit-flip code.

A. Simulation details

Because the bit-flip code feedback control scheme [(28)–(31)] uses a nonlinear feedback Hamiltonian, numerical simulation is the most tractable route for its study. To obtain $\rho_c(t)$, we directly integrated these equations using a simple Euler integrator and a Gaussian random number generator. We found stable convergent solutions when we used a dimensionless time step γdt on the order of 10^{-6} and averaged over 10^4 quantum trajectories. As a benchmark, a typical run using these parameters took 2–8 h on a 400 MHz Sun Ultra 2. We found that more sophisticated Milstein [32] integrators converged more quickly but required too steep a reduction in time step to achieve the same level of stability. All of our simulations began in the state $\rho_c(0) = |\bar{0}\rangle\langle\bar{0}|$ because it is maximally damaged by bit-flipping noise and therefore it yielded the most conservative results.

We used two measures to assess the behavior of our bit-flip code feedback control scheme. The first measure we used is the *codeword fidelity* $F_{cw}(t) = \operatorname{tr}(\rho_c(0)\rho_c(t))$, the overlap of the state with the target codeword. This measure is appropriate when one cannot perform strong measurements and fast unitary operations, a realistic scenario for many physical systems. We compared $F_{cw}(t)$ to the fidelities of one unprotected qubit $F_1(t) = \frac{1}{2}(1 + e^{-2\gamma t})$ and of three unprotected qubits $F_3(t) = (F_1(t))^3$.

The second measure we used is the *correctable overlap*

$$F_{corr}(t) = \operatorname{tr}(\rho_c(t)\Pi_{corr}), \quad (41)$$

where

$$\Pi_{corr} = \rho_0 + XII\rho_0XII + IXI\rho_0IXI + IIX\rho_0IIX \quad (42)$$

is the projector onto the states that can be corrected back to the original codeword by discrete quantum error correction applied (once) at time t . This measure is appropriate when one can perform strong measurements and fast unitary operations, but only at discrete time intervals of length t . We compared $F_{corr}(t)$ to the fidelity $F_{enc}(t)$ obtained when, instead of using our protocol up to time t , no correction was performed until the final discrete quantum error correction at time t . As we showed in Eq. (27), the expression for $F_{enc}(t)$ may be calculated analytically; it is $F_{enc}(t) = \frac{1}{4}(2 + 3e^{-2\gamma t} - e^{-6\gamma t}) \sim 1 - 3\gamma^2 t^2$.

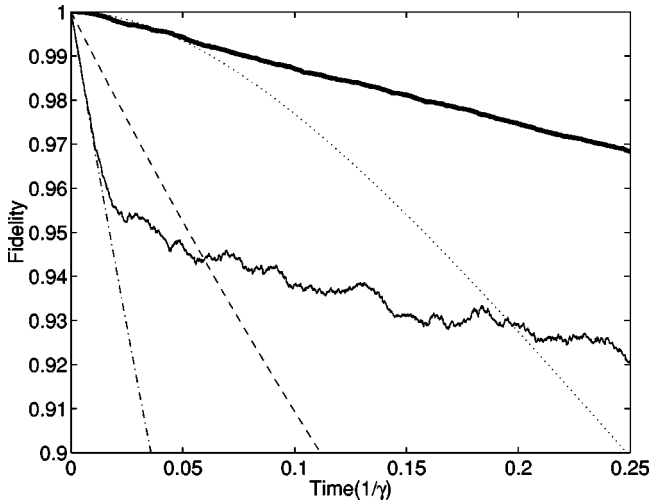


FIG. 2. Behavior of our protocol with optimized feedback (35) for parameters $\kappa/\gamma=64$, $\lambda/\gamma=128$, averaged over 10^4 quantum trajectories. The analytical curves shown are as follows: the dashed line is the fidelity of one decohering qubit, $F_1(t)$; the dashed-dotted line is the fidelity of three decohering qubits, $F_3(t)$; and the dotted line is the fidelity of an encoded qubit after one round of discrete error correction, $F_{enc}(t)$. Our simulation results are as follows: the solid line is the codeword fidelity $F_{cw}(t)$, and the thick solid line is the correctable overlap $F_{corr}(t)$.

B. Results

We find that both our optimized estimate feedback scheme (35) and our heuristically motivated feedback scheme (33) effectively protect a qubit from bit-flip decoherence. In Figs. 2 and 3 we show how these schemes behave for the (scaled) measurement and feedback strengths $\kappa/\gamma=64$, $\lambda/\gamma=128$ when averaged over 10^4 quantum trajectories. Using our first measure, we see that at very short times,

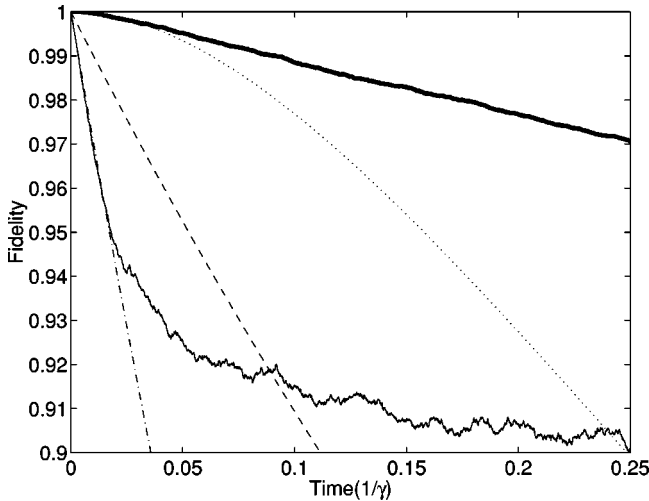


FIG. 3. Behavior of our protocol with nonoptimized feedback (33) for parameters $\kappa/\gamma=64$, $\lambda/\gamma=128$, averaged over 10^4 quantum trajectories. As in Fig. 2, the dashed line is $F_1(t)$, the dashed-dotted line is $F_3(t)$, the dotted line is $F_{enc}(t)$, the solid line is $F_{cw}(t)$, and the thick solid line is $F_{corr}(t)$. Note that this feedback is qualitatively similar to that in Fig. 2 but does not perform as well.

both schemes have codeword fidelities $F_{cw}(t)$ that follow the three-qubit fidelity $F_3(t)$ closely. For both schemes, $F_{cw}(t)$ improves and surpasses the fidelity of a single unprotected qubit $F_1(t)$. Indeed, perhaps the most exciting feature of these figures is that eventually $F_{cw}(t)$ surpasses $F_{enc}(t)$, the fidelity achievable by discrete quantum error correction applied at time t . In other words, our scheme alone outperforms discrete quantum error correction alone if the time between corrections is sufficiently long.

Looking at our second measure in Figs. 2 and 3, we see that $F_{corr}(t)$ is as good as or surpasses $F_{enc}(t)$ almost everywhere. For times even as short as a tenth of a decoherence time, the effect of using our protocol between discrete quantum error correction cycles is quite noticeable. This improvement suggests that, even when one can approximate discrete quantum error correction but only apply it every so often, it pays to use our protocol in between corrections. Therefore, our protocol offers a means of improving the fidelity of a quantum memory even after the system has been isolated as well as possible and a discrete quantum error correction is applied as frequently as possible.

There is a small time range from $t \cong 0.01$ to $t \cong 0.05$ for the parameters used in Fig. 2 in which using our protocol before the discrete quantum error correction actually underperforms not doing anything before the correction. Our simulations suggest that the reason for this narrow window of deficiency is that, in the absence of our protocol, it is possible to have two errors on a qubit (e.g., two bit flips) that cancel each other out before discrete quantum error correction is performed. In contrast, our protocol will immediately start to correct for the first error before the second one happens, so we lose the advantage of this sort of cancellation. This view is supported by the fact that $F_{corr}(t)$ in our simulations always lies above the fidelity line obtained by subtracting such fortuitous cancellations from $F_{enc}(t)$. In any case, this window can be made arbitrarily small and pushed arbitrarily close to the beginning of our protocol by increasing the measurement strength κ and the feedback strength λ .

In Figs. 2 and 3, the $F_{cw}(t)$ line is much more jagged than the $F_{corr}(t)$ line. The jaggedness in both of these lines is due to statistical noise in our simulation and is reduced when we average over more than 10^4 trajectories. The reason for the reduced noise in the $F_{corr}(t)$ line has to do with the properties of discrete quantum error correction—on average, neighboring states get corrected back to the same state by discrete quantum error correction, so noise fluctuations become smoothed out.

The improvement our optimized estimate feedback protocol yields beyond our heuristically motivated feedback protocol is more noticeable in $F_{cw}(t)$ than in $F_{corr}(t)$ as seen in Figs. 2 and 3. Our optimized protocol acts to minimize the distance between the current state and the codespace, not between the current state and the space of states correctable back to the original codeword, so this observation is perhaps not surprising. In fact, optimizing feedback relative to $F_{corr}(t)$ is not even possible without knowing the codeword being protected. Nevertheless, our optimized protocol does perform better, so henceforth we shall restrict our discussion to it.

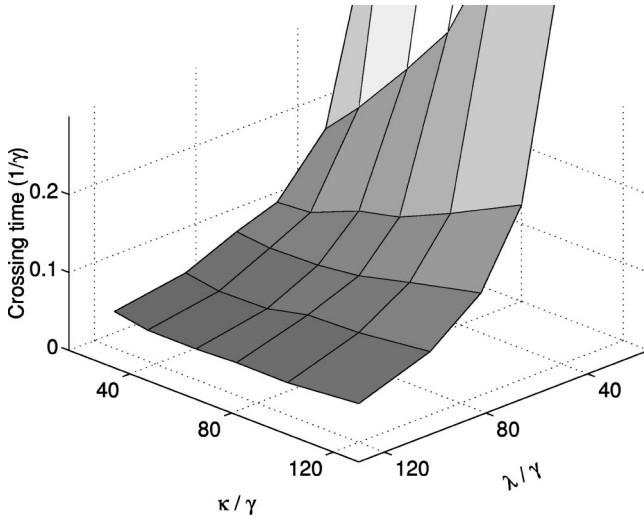


FIG. 4. Time τ at which $F_{cw}(\tau) = F_1(\tau)$ as a function of measurement strength κ/γ and feedback strength λ/γ . This crossing time is the time after which our optimized protocol improves the fidelity of a qubit beyond what it would have been if it were left to itself.

We investigated how our protocol behaved when the scaled measurement strength κ/γ and feedback strength λ/γ were varied using the two measures described in Sec. V A. Our first measure, the codeword fidelity $F_{cw}(t)$, crosses the unprotected qubit fidelity $F_1(t)$ at various times τ as depicted in Fig. 4. This time is of interest because it is the time after which our optimized protocol improves the fidelity of a qubit beyond what it would have been if it were left to itself. Increasing the scaled feedback strength λ/γ improves our scheme and reduces τ , but the dependence on the scaled measurement strength κ/γ is not so obvious from Fig. 4.

By looking at cross sections of Fig. 4, such as at $\lambda/\gamma = 80$ as in Fig. 5, we see that for a given scaled feedback strength λ/γ there is a minimum crossing time τ as a func-

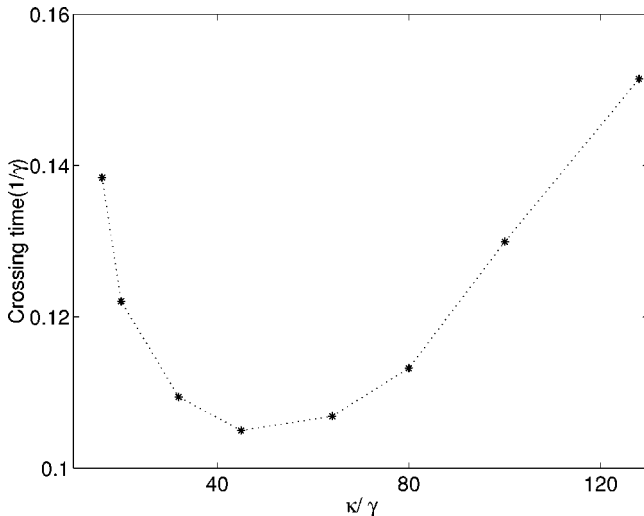


FIG. 5. Time τ at which $F_{cw}(\tau) = F_1(\tau)$ as a function of measurement strength κ/γ , keeping correction strength fixed at $\lambda/\gamma = 80$.

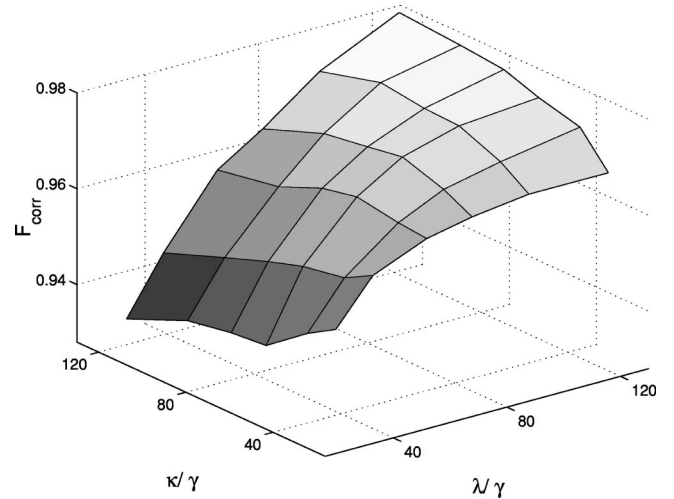


FIG. 6. F_{corr} at $\gamma t = 0.2$ as a function of measurement strength κ/γ and feedback strength λ/γ . This quantity corresponds to the fidelity of a state given continuous error correction up to $\gamma t = 0.2$, at which point discrete error correction is performed.

tion of measurement strength κ/γ . In other words, there is an optimal choice of measurement strength κ/γ . This optimal choice arises because syndrome measurements, which localize states near error subspaces, compete with Hamiltonian correction operations, which coherently rotate states between the nontrivial error subspaces to the trivial error subspace. This phenomenon is a feature of our continuous-time protocol that is not present in discrete quantum error correction; in the former, measurement and correction are simultaneous, while in the latter, measurement and correction are separate noninterfering processes.

In order to study how our second measure, the correctable overlap $F_{corr}(t)$, varies with κ and λ , we found it instructive to examine its behavior at a particular time. In Fig. 6 we plot $F_{corr}(t)$, evaluated at the time $t = 0.2/\gamma$, as a function of κ and λ . As we found with the crossing time τ , increasing λ always improves performance, but increasing κ does not because measurement can compete with correction. Since $F_{enc}(0.2/\gamma) \approx 0.927$, for all the κ and λ plotted in Fig. 6, using our protocol between discrete quantum error correction intervals of time $0.2/\gamma$ improves the reliability of the encoded data.

Finally, we note that when no feedback was performed ($\lambda = 0$), the continuous measurement of the syndrome by itself did not offer any suppression of errors via some kind of quantum Zeno effect [34]. This is because the decoherence the measurements are competing with are also first order in time by their Markovian nature. However, for Hamiltonian errors, which affect the state to second order in time at the earliest, we indeed found additional suppression of errors arising solely from the continuous syndrome measurement.

VI. CONCLUSION

In many realistic quantum computing architectures, weak measurements and Hamiltonian operations are likely to be the tools available to protect quantum states from decoherence. Moreover, even quantum systems in which strong mea-

measurements and fast operations are well approximated, such as ion traps [33], it is likely that these operations will only be possible at some maximum rate. Our protocol is able to continuously protect unknown quantum states using only weak measurements and Hamiltonian corrections and can improve the fidelity of quantum states beyond rate-limited quantum error correction. In addition, because our protocol responds to the entire measurement record and not to instantaneous measurement results, it will not propagate errors badly and therefore has a limited inherent fault tolerance that ordinary quantum error correction does not.

We expect that our protocol will be applicable to other continuous-time quantum information processes, such as reliable state preparation and fault-tolerant quantum computation. We also expect that our approach will work when different continuous-time measurement tools are available, such as direct photodetection. Finally, although current computing technology has limited our simulation investigation to few-qubit versions of our protocol, we are confident that many of the salient features we found in our three-qubit bit-flip code protocol will persist when our protocol is applied to larger codes.

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APPENDIX A: FEEDBACK BASED ON THE COMPLETELY MIXED STATE

Even though our quantum error correction feedback control scheme described in Sec. IV does not distinguish between codewords, it is not obvious that we do not need to know the initial codeword to integrate its SME and calculate the relevant expectation values. Since we are interested in protecting unknown quantum states, this property is crucial to our scheme's success. Fortunately, for a large class of stabilizer codes, the computation of the feedback can be done by assuming the initial state is the completely mixed codespace state $\rho_e = (1/2^n) \prod_{l=1}^{n-k} (I + M_l)$, which we prove here.

We begin by defining the set G for the $[[n, k, d]]$ code \mathcal{C} with stabilizer $S(\mathcal{C})$ as

$$G = \{\alpha s \mid \alpha \in P_n, s \in S(\mathcal{C}), [s, \alpha] = 0 \text{ iff } |\alpha| \text{ is even}\}, \quad (\text{A1})$$

where $|\alpha|$ denotes the weight of α , namely the number of nonidentity terms in its representation as a tensor product of Pauli operators.

It is also useful to define the normalizer $N(S)$ for the code as the group of operators which commute with every element in $S(\mathcal{C})$. The elements of $N(S) \setminus S$ can be thought of as the *encoded operations* for the code—they move one codeword to another.

We shall rewrite the conditions we require for the computation of the feedback to be insensitive to the initial codeword in terms of the *Pauli basis coefficients* $R_g(\rho)$ which we define as follows. Let $g = \sigma_{i_1} \otimes \cdots \otimes \sigma_{i_n}$, where i_1, \dots, i_n take on the values x, y, z, I and $\sigma_I = I$. Then

$$R_g(\rho) \equiv \text{tr}(\rho g) / 2^n = \langle g \rangle / 2^n. \quad (\text{A2})$$

We can then formulate the problem in terms of proving conditions on G as follows:

- (1) For every R_g used in our feedback scheme, $g \in G$.
- (2) For every $g \in G$ and every ρ_1 and ρ_2 in \mathcal{C} , $R_g(\rho_1) = R_g(\rho_2)$.
- (3) Evolution under the SME couples members of the set $\{R_g \mid g \in G\}$ only to each other.

Theorem. Let \mathcal{C} be an $[[n, 1, 3]]^4$ stabilizer code whose stabilizer $S(\mathcal{C})$ has generators of only even weight and whose encoded operations set $N(S) \setminus S$ has elements of only odd weight.⁵ Then the conditions 1–3 above are satisfied; consequently, our scheme does not require knowledge of where the initial codeword lies in \mathcal{C} .

Proof. In this proof, any variable of the form α_a is an arbitrary element of P_n , and any variable of the form s_a is an arbitrary element of $S(\mathcal{C})$. We prove each of the conditions listed above separately.

Condition 1. By construction, G contains all M of the form $M = s_i \sigma_j^{(k)}$, where $[s_i, \sigma_j^{(k)}] \neq 0$. These are precisely the operators used to compute the feedback in Eq. (40) for a code encoding one qubit.

Condition 2. Let $g = \alpha s \in G$ and let $\rho \in \mathcal{C}$. We know either $\alpha \in S$, $\alpha \in N(S) \setminus S$, or $\alpha \notin N(S)$. Suppose $\alpha \in S$. Then $g \in S$ acts trivially on all states in the codespace, so $R_g = 1/2^n \text{tr}(\rho g) = 1/2^n$ for this case. Now suppose $\alpha \in N(S) \setminus S$. Then $[\alpha, s] = 0$, and since $\alpha s \in G$, $|\alpha|$ is even. But every element of $N(S) \setminus S$ has odd weight by hypothesis, which is a contradiction. Hence α cannot be in $N(S) \setminus S$. Finally, suppose $\alpha \notin N(S)$. Then there exists some $s' \in S$ such that $[\alpha, s'] \neq 0$; let s' be such an element. Then for $|\psi\rangle, |\phi\rangle \in \mathcal{C}$,

⁴The restriction to $[[n, 1, 3]]$ codes is for simplicity of analysis; the proof may be extended to larger codes. Note that for an $[[n, 1, 3]]$ code, the F_l in the master equation (39) are all of the form $\sigma_j^{(k)}$, where this notation denotes the weight-one Pauli operator σ_j acting on qubit k .

⁵It is possible that this restriction may be able to be relaxed; however, it is sufficiently general that it holds for the most well-known codes, including the bit-flip code, the five-bit code, the Steane code, and the nine-bit Shor code. This condition also ensures that G is *consistent*, i.e., if $\alpha_j s_k \in G$ and $\alpha_j = \alpha_n s_m$, then α_n and $s_m s_k$ also fulfill the conditions for $\alpha_n (s_m s_k)$ to be in G .

$$\langle \psi | \alpha | \phi \rangle = \langle \psi | \alpha s' | \phi \rangle = -\langle \psi | s' \alpha | \phi \rangle = -\langle \psi | \alpha | \phi \rangle = 0. \quad (\text{A3})$$

Hence for this case $R_g = 1/2^n \text{tr}(\rho \alpha s) = 0$. Note that these expressions for R_g must be the same no matter where ρ is in the codespace; therefore, for every $g \in G$ and $\rho_1, \rho_2 \in \mathcal{C}$, $R_g(\rho_1) = R_g(\rho_2)$.

Condition 3. We prove this by considering dR_M , where $M \in G$: we will show that $dR_M = f(\{R_N | N \in G\})$ for some real function f . Now, for any $M \in P_n$, $dR_M = \text{Tr}(d\rho M)$, where $d\rho$ is given by the master equation (39), and we can show condition 3 for each term of the master equation separately. First, substituting in the master equation shows that any term of the form $\mathcal{D}[c]\rho dt$ contributes either 0 or the simple exponential damping term $-2R_M$ to dR_M if M and c commute or anticommute, respectively.

As for the master equation term $\mathcal{H}[s_j]dW_j\rho$, by writing the master equation in the Pauli basis we can see that R_N contributes to dR_M through this term precisely when $Ns_j = M$ and $\{s_j, N\} \neq 0$. Here we know that $M \in G$, so we may write $M = \alpha_k s_l$ (with the appropriate restriction on $[\alpha_k, s_l]$ depending on the weight of α_k). $N = \alpha_k s_l s_j = \alpha_k s_m$, so the condition above that $[s_j, N] = 0$ becomes $[s_j, \alpha_k s_l s_j] = (\alpha_k [s_j, s_l s_j] + [s_j, \alpha_k] s_l s_j) \Rightarrow [s_j, \alpha_k] = 0$. Therefore, $[\alpha_k, s_m] = s_l [\alpha_k, s_j] + [\alpha_k, s_l] s_j = [\alpha_k, s_l] s_j$ which is zero or not depending on the original weight of α_k . So if $M = \alpha_k s_l$ is such that $M \in G$, $N = \alpha_k s_m$ must fulfill that same condition, implying that $N \in G$ also.

Similarly, R_N contributes to dR_M through the master equation term $[\sigma_j^{(k)}, \rho]$ when $N\sigma_j^{(k)} = M$ and $[\sigma_j^{(k)}, N] \neq 0$. Now, $M \in G$ so $M = \alpha_l s_m$, again with the appropriate restriction on $[\alpha_l, s_m]$ depending on the weight of α_l . Then $N = \sigma_j^{(k)} \alpha_l s_m \equiv \alpha_n s_m$, so the condition above that $\{\sigma_j^{(k)}, N\} \neq 0$ becomes

$$\begin{aligned} \{\sigma_j^{(k)}, \sigma_j^{(k)} \alpha_l s_m\} &= \sigma_j^{(k)} [\sigma_j^{(k)}, \alpha_l] s_m + \sigma_j^{(k)} \alpha_l \{\sigma_j^{(k)}, s_m\} \\ &= \sigma_j^{(k)} \{\sigma_j^{(k)}, \alpha_l\} s_m - \sigma_j^{(k)} \alpha_l [\sigma_j^{(k)}, s_m] = 0. \end{aligned} \quad (\text{A4})$$

We can now divide the analysis of this term into two cases. Case 1 occurs when $\sigma_j^{(k)} \alpha_l$ has weight $|\alpha_l|$, implying that $\{\alpha_l, \sigma_j^{(k)}\} = 0$. Then $\{\sigma_j^{(k)}, \sigma_j^{(k)} \alpha_l s_m\} = -\sigma_j^{(k)} \alpha_l [\sigma_j^{(k)}, s_m] = 0$, which implies that $[s_m, \alpha_n] = [s_m, \sigma_j^{(k)}] \alpha_l + \sigma_j^{(k)} [s_m, \alpha_l] = \sigma_j^{(k)} [s_m, \alpha_l]$. So $[s_m, \alpha_n] = 0$ just when $[s_m, \alpha_l] = 0$, which means that $N \in G$ since $|\alpha_n| = |\alpha_l|$.

In Case 2, $\sigma_j^{(k)} \alpha_l$ has weight $|\alpha_l \pm 1| \Rightarrow [\alpha_l, \sigma_j^{(k)}] = 0$. Then Eq. (A4) becomes $\{\sigma_j^{(k)}, \sigma_j^{(k)} \alpha_l s_m\} = \sigma_j^{(k)} \alpha_l \{\sigma_j^{(k)}, s_m\} = 0$, which implies that $[s_m, \alpha_n] = \{s_m, \sigma_j^{(k)}\} \alpha_l + \sigma_j^{(k)} \{s_m, \alpha_l\} = \sigma_j^{(k)} \{s_m, \alpha_l\}$. So $[s_m, \alpha_n] = 0$ just when $\{s_m, \alpha_l\} = 0$, which means that $N \in G$ since $|\alpha_n| = |\alpha_l \pm 1|$. ■

Thus we have shown the three conditions that all the R 's used to compute the feedback are of the form $R_{N \in G}$; that for a given $M \in G$, R_M will be the same for any state in the codespace; and that evolution via the master equation mixes the R 's of the form $R_{N \in G}$ only with each other. Therefore, we can conclude that taking the initial state to be *any* state in the codespace, including the true initial state and the entirely mixed state, produces the same expression for the feedback when the master equation is evolved conditioned on a measurement record, and so we do not have to know the true initial state to use our protocol.

Another consequence of using the completely mixed state for feedback arises from the fact that doing so corresponds to discarding information about the state of the system. Therefore, this procedure should reduce the number of parameters needed to compute the feedback. Unfortunately, this only leads to a modest reduction in the number of parameters, which can be found by using a simple counting argument. There are $2^n/2^k = 2^{n-k}$ different error subspaces, including the no-error (code) space, and if we start with the completely mixed state in the codespace we do not need to worry at all about any movement within any of these spaces. We must only worry about which error space we are actually in, along with coherences between these spaces, so we find that $(2^{n-k})^2$ parameters are needed to describe the system.

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