

Lecture 21: Time Independent Perturbation Theory (Continued)

Recap: We seek solutions to the TISE

$$\hat{H} = \hat{H}_0 + \epsilon \hat{H}_1, \quad \hat{H} |\phi_n\rangle = E_n |\phi_n\rangle$$

For bound states, where  $\epsilon \hat{H}_1$  is a "perturbation" and  $\hat{H}_0 |\phi_n^{(0)}\rangle = E_n^{(0)} |\phi_n^{(0)}\rangle$  are known

Solutions. We expand in a power series in  $\epsilon$

$$|\phi_n\rangle = |\phi_n^{(0)}\rangle + |\delta\phi_n\rangle, \quad |\delta\phi_n\rangle = \sum_{k=1}^{\infty} \epsilon^k |\phi_n^{(k)}\rangle$$

$$E_n = E_n^{(0)} + \delta E_n, \quad \delta E_n = \sum_{k=1}^{\infty} \epsilon^k E_n^{(k)}$$

- First order  $E_n^{(1)} = \langle \phi_n^{(0)} | \hat{H}_1 | \phi_n^{(0)} \rangle$

$$|\phi_n^{(1)}\rangle = \sum_{m \neq n} |\phi_m^{(0)}\rangle \frac{\langle \phi_m^{(0)} | \hat{H}_1 | \phi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}}$$

Perturbation when

$$|\langle \phi_m^{(0)} | \hat{H}_1 | \phi_n^{(0)} \rangle| \ll |E_n^{(0)} - E_m^{(0)}|$$

$\forall n, m$

No degeneracies!

Consider situations now the second order corrections. Typically we go to 2<sup>nd</sup> order when first order shifts vanish (more on this below).

The Second order contribution to the T.I.S.E.

$$E_n^{(2)} |\phi_n^{(0)}\rangle = (\hat{H}_0 - E_n^{(0)}) |\phi_n^{(2)}\rangle + (\hat{A}_1 - E_n^{(0)}) |\phi_n^{(1)}\rangle$$

Project with  $\langle \phi_n^{(0)} |$

$$\Rightarrow E_n^{(2)} \langle \phi_n^{(0)} | \phi_n^{(0)} \rangle = \langle \phi_n^{(0)} | (\hat{H}_0 - E_n^{(0)}) |\phi_n^{(2)}\rangle$$

$$+ \langle \phi_n^{(0)} | (\hat{A}_1 - E_n^{(0)}) |\phi_n^{(1)}\rangle$$

$$\Rightarrow E_n^{(2)} = \langle \phi_n^{(0)} | \hat{H}_1 | \phi_n^{(0)} \rangle$$

(Having used  $\langle \phi_n^{(0)} | \phi_n^{(0)} \rangle = 1$  and  $\langle \phi_n^{(0)} | \hat{H}_0 = E_n^{(0)} \langle \phi_n^{(0)} |$ )

Plug in for  $|\phi_n^{(1)}\rangle$

$$\Rightarrow E_n^{(2)} = \sum_{m \neq n} \underbrace{\langle \phi_n^{(0)} | \hat{H}_1 | \phi_m^{(0)} \rangle}_{\text{"intermediate state"} } \langle \phi_m^{(0)} | \hat{H}_1 | \phi_n^{(0)} \rangle$$

$$E_n^{(0)} = E_m^{(0)}$$

$$E_n^{(2)} = \sum_{m \neq n} \underbrace{| \langle \phi_m^{(0)} | \hat{H}_1 | \phi_n^{(0)} \rangle |^2}_{E_n^{(0)} - E_m^{(0)}}$$

So for  $|\vec{E}| \ll 10^9$  Volts/cm, perturbation theory is good.

Consider shift to ground state of H,  $1s$

$$\Rightarrow E_{1s}^{(1)} = \langle 1s | V_{\text{int}} | 1s \rangle = e \vec{E} \cdot \langle 1s | \hat{r} | 1s \rangle$$

$$\hat{r} = r (\cos \theta \hat{e}_z + \sin \theta (\cos \phi \hat{e}_x + \sin \phi \hat{e}_y))$$

$$\Psi_{1s}(r, \theta, \phi) = R_{1s}(r) Y_{00}(\theta, \phi) = \frac{1}{\sqrt{4\pi}} R_{1s}(r)$$

Spherically symmetric

$$\Rightarrow \langle 1s | \hat{r} | 1s \rangle = 0$$

$$\Rightarrow E_{1s}^{(1)} = 0 \quad \text{No first order shift!}$$

(Aside: More generally,  $\langle n l m | \hat{r} | n l m \rangle = 0$   
for any  $l m$  because of "inversion symmetry"  $\hat{r} \Rightarrow -\hat{r}$ )

Since the first order shift vanishes,  
we must consider second order corrections.  
This is the lowest nonvanishing contribution.

## Example: The Stark Effect

An important application of perturbation theory is effect of an externally applied field to matter. Here we study static fields, e.g. a static  $\vec{E}$  field applied to an atom. The shift in the energy levels is known as the Stark effect.

Consider the force of an external electric field  $\vec{E}$  on an electron:  $\vec{F} = -e\vec{E}$

$$\Rightarrow \text{Interaction potential } V_{\text{int}} = -\vec{r} \cdot \vec{F} = er \cdot \vec{E}$$
$$= -d \cdot \vec{E}$$

electric dipole

Total potential for the electron in Hydrogen

$$V = \frac{e}{r} - d \cdot \vec{E}$$

Is this a perturbation? Require externally applied field  $|\vec{E}|$  must smaller than internal field experienced by the electron

$$E_{\text{internal}} \approx \frac{e}{a_0^2} \quad (a_0 = \text{Bohr radius})$$

$$= 10^9 \frac{\text{Volts}}{\text{cm}} \quad \text{huge!}$$

$$E_{1s}^{(2)} = \sum_{\substack{n', l', m' \\ \neq 0, 0, 0}} \frac{|\langle n', l', m' | V_{int} | 1s \rangle|^2}{E_{1s} - E_{n'l'}}$$

$$= \sum_{n', l', m'} \frac{|\langle n', l', m' | \hat{d} | 1s \rangle \cdot \vec{\epsilon}|^2}{E_{1s} - E_{n'l'}}$$

where  $\hat{d} = -e \hat{r}$

Take  $\vec{\epsilon}$  is z-direction (irrelevant since spherical symmetric state)

$$\Rightarrow E_{1s}^{(2)} = \left( \sum_{n', l', m'} \frac{e^2 |\langle n', l', m' | \hat{z} | 1s \rangle|^2}{E_{1s} - E_{n'l'}} \right) \vec{\epsilon}^2$$

"Quadratic Stark effect". Energy shift

quadratic function of applied field

More advanced knowledge  $\rightarrow$  "Selection rules"

for dipole matrix elements  $\langle n', l', m' | \hat{d}_z | 1s \rangle$

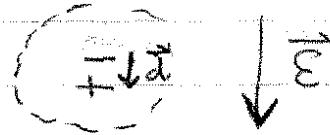
Vanishes unless  $l' = 1$   $m' = 0 \Rightarrow$  can do sum

$$E_{1s}^{(2)} = -\frac{q}{4} a_0^3 \vec{\epsilon}^2 = -\frac{q}{4} \frac{(ea_0 \vec{\epsilon})^2}{\left(\frac{\vec{\epsilon}^2}{a_0}\right)} \begin{matrix} \text{Field energy} \\ \left(\frac{\vec{\epsilon}^2}{a_0}\right) \text{ Hartree} \end{matrix}$$

Physical picture: Induced dipole of polarizable particle



Unperturbed Hydrogen



Induced dipole interacts with  $\vec{E}$  (second order process)

Charge on spring picture



Balance restoring force

$$m\omega^2 z_{eq} = e E_z$$

$$\Rightarrow z_{eq} = \frac{e}{m\omega^2} E_z$$

$\Rightarrow$  Induced dipole moment  $\vec{d}_{ind} = \frac{e^2}{m\omega^2} \vec{E}$

$$\alpha = \text{Polarizability} = \frac{e^2}{m\omega^2}$$

Total potential energy:  $\frac{1}{2} m \omega^2 z_{eq}^2 - \vec{d}_{ind} \cdot \vec{E}$

$$U = \frac{1}{2} m \omega^2 \left( \frac{e}{m\omega^2} E_z \right)^2 - \frac{e^2}{m\omega^2} E_z^2$$

$$= -\frac{1}{2} \frac{e^2}{m\omega^2} E_z^2 = \boxed{-\frac{1}{2} \alpha E_z^2}$$

$$= -\frac{1}{2} \vec{d}_{ind} \cdot \vec{E}$$

half the potential is stored in "spring"

## Quantum Mechanically

Induced dipole:  $\langle \hat{\phi} | \hat{d} | \hat{\phi} \rangle$  where

$$|\hat{\phi}\rangle = (|\phi^{(0)}\rangle + |\phi^{(1)}\rangle) / \| \hat{\phi} \|$$

$$|\phi^{(0)}\rangle = |1s\rangle \quad |\phi^{(1)}\rangle = e \sum_{n'} \langle n' 10 | \hat{z} | 100 \rangle \frac{|n' 10\rangle}{E_{1s} - E_{n'p}}$$

To lowest order:  $\langle \hat{d} \rangle = \langle \hat{\phi}_s^{(0)} | \hat{d} | \phi_s^{(0)} \rangle + \langle \phi_s^{(0)} | \hat{d} | \phi_s^{(0)} \rangle$   
 $+ \cancel{\langle \phi_s^{(0)} | \hat{d} | \phi_s^{(0)} \rangle}$  neglect

$$\Rightarrow \langle \hat{d} \rangle = d_{\text{induced}} = -2e^2 \sum_{n'} \frac{\langle 100 | \hat{z} | n' 10 \rangle \langle n' 10 | \hat{z} | 100 \rangle}{E_{1s} - E_{n'p}} \frac{e^2}{\epsilon_2}$$

$$\boxed{\alpha = +2e^2 \sum_{n'} \frac{\langle 100 | \hat{z} | n' 10 \rangle \langle n' 10 | \hat{z} | 100 \rangle}{E_{n'p} - E_{1s}}}$$

Polarizability (scalar here)

$$E_{1s}^{(2)} = -\frac{1}{2} \alpha \epsilon^2 \quad \checkmark$$

Compare to classical picture:

$$\text{Oscillator strength } f_{nn'} = \frac{|\langle n' 10 | \hat{z} | 100 \rangle|^2}{(\frac{h}{2mc\omega})}$$

Majority of oscillator strength in the  
 $1s \rightarrow 2p$  transition